

# **Additive Manufacturing of Metals and Alloys**

## **7. Microstructure control through processing**

**February 2023**

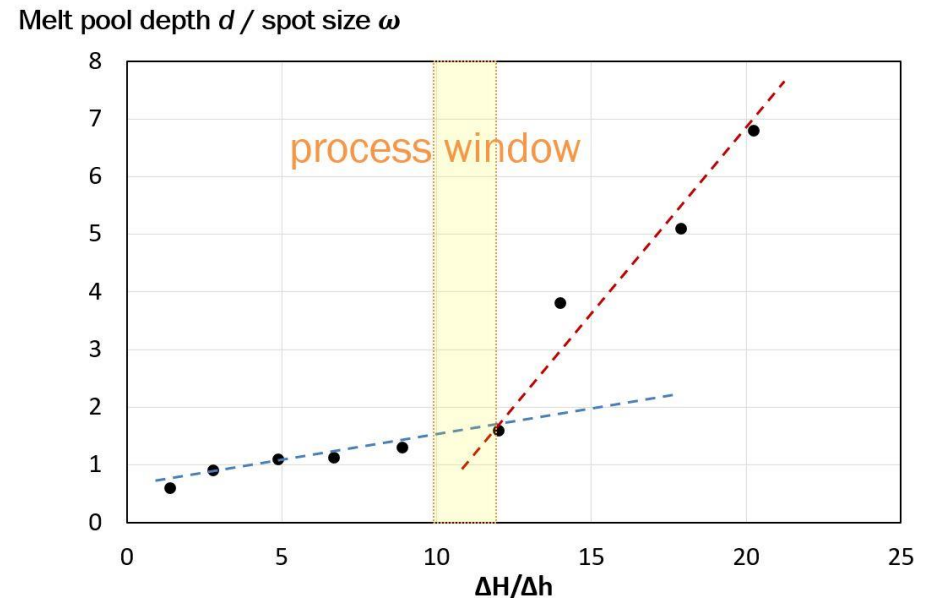
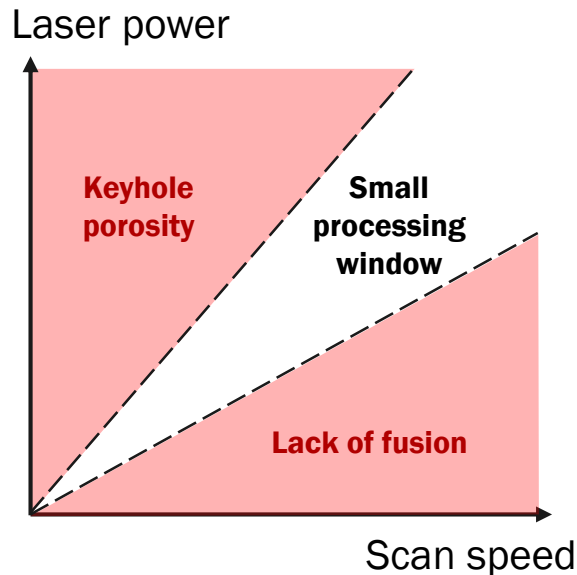
**Dr. Charlotte de Formanoir**  
**Prof. Roland Logé**  
**MER Dr. Christian Leinenbach**



# Introduction

In AM, there is **limited margin for microstructure control** through processing.

The process window is first dictated by the production of parts with the desired geometry and a minimal **porosity content**.



What are the options still available to control the microstructure during processing?

# Microstructure control through processing

- Introduction: effect of **thermal history** on microstructure genesis
- Effect of **scanning strategy**
  - Effect of scanning direction
  - Effect of scanning length
- Effect of **process parameters**
- Effect of **build geometry**
- Effect of **beamshaping**
- **Hybrid manufacturing**
  - WAAM + rolling
  - LPBF + LSP

# Microstructure control through processing

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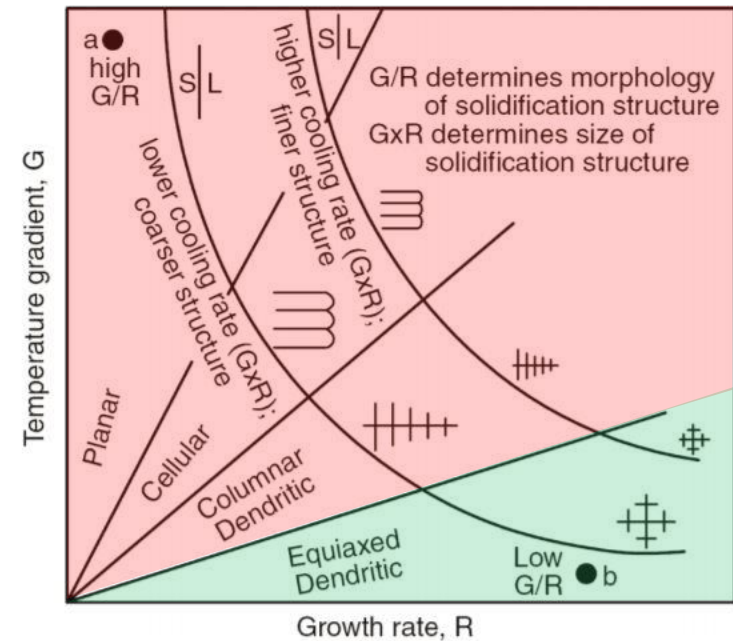
# Introduction: effect of thermal history on microstructure genesis

## Grain morphology

### Columnar grains

Typically observed in AM (due to the very high temperature gradient on the order of  $10^6$  K/m)

Undesirable: anisotropic mechanical properties.



### Equiaxed grains

Can be induced by:

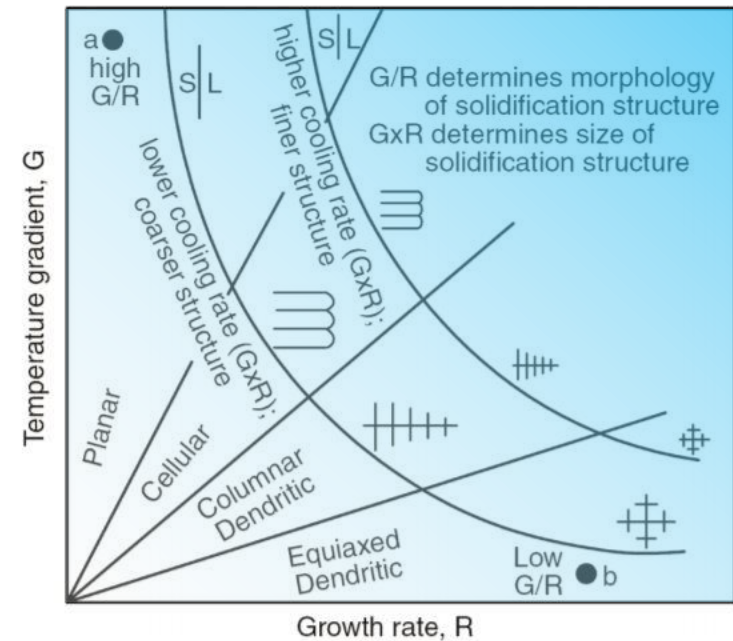
- constitutional supercooling
- heterogeneous nucleation on partially melted powders
- heterogeneous nucleation on added refractory particles

# Introduction: effect of thermal history on microstructure genesis

## Grain size

**Smaller grains** are obtained by increasing the cooling rate  $G \times R$ :

- low preheating temperature
- high scanning speed
- low power
- thin/small parts (low thermal mass)
- proximity to the build plate (= heat sink)

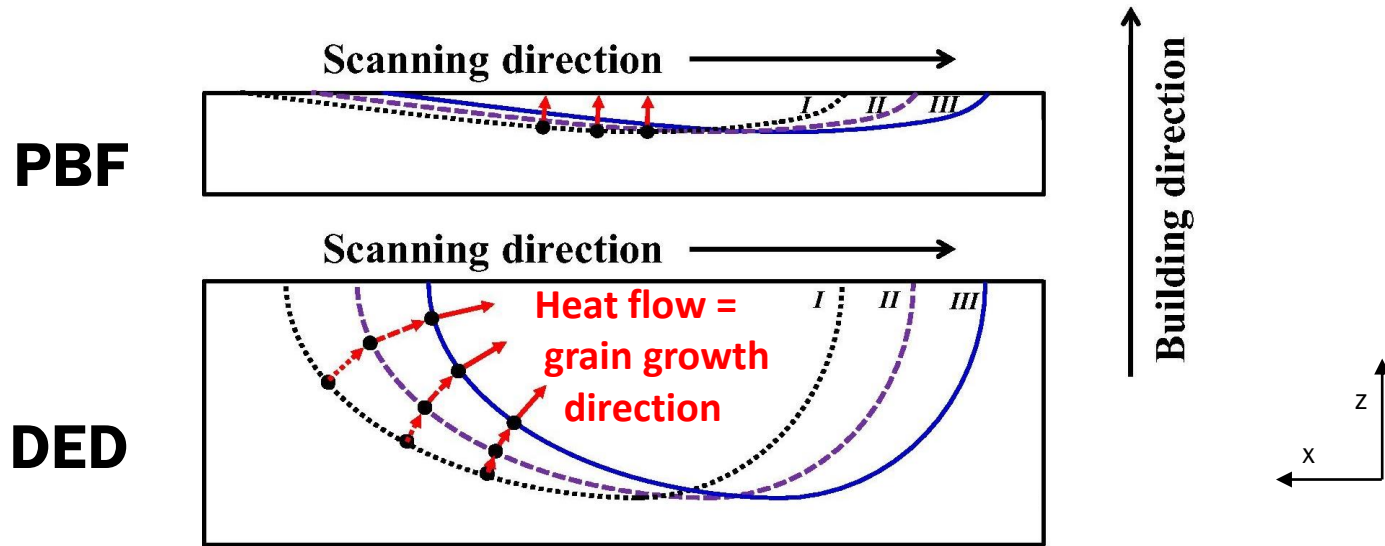


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# Effect of scanning strategy

## Melt pool shape – DED vs PBF – longitudinal section



The orientation of the grain structure is affected by the shape and size of the melt pool.

The melt pool is long and shallow during PBF:

the heat flow at the melt pool boundary is opposite to the build direction.

The melt pool is short and deep during DED with a strong curvature:

the heat flow direction depends on the local position at the melt pool boundary.

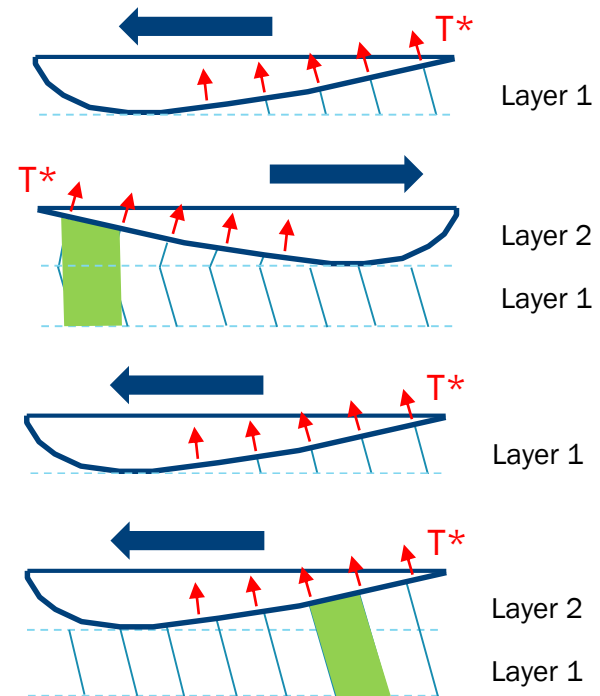
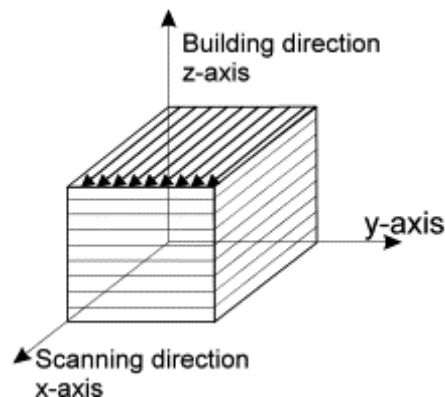
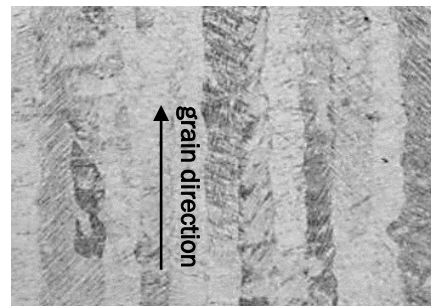
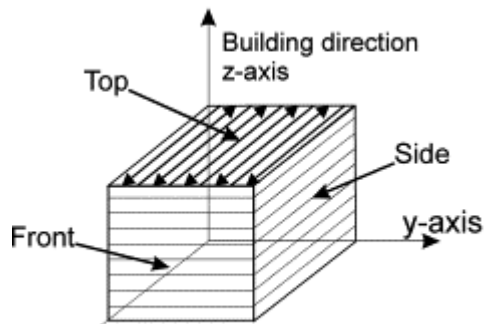


# Effect of scanning strategy

## Unidirectional vs bidirectional – PBF – longitudinal section

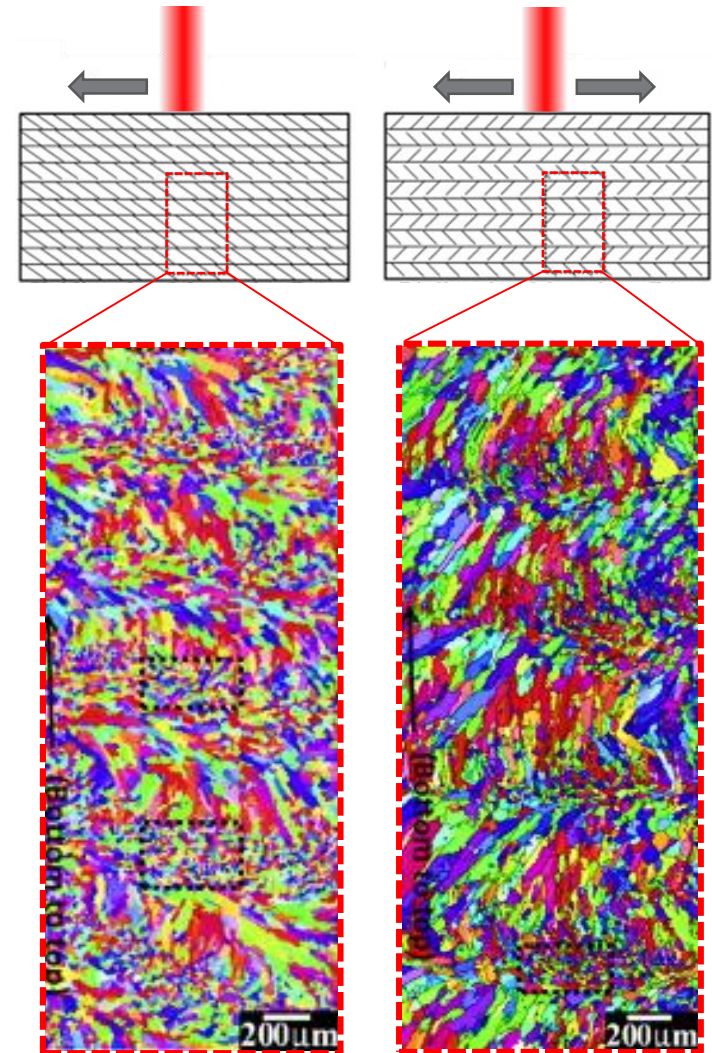
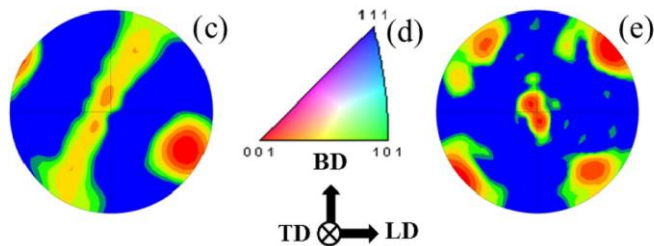
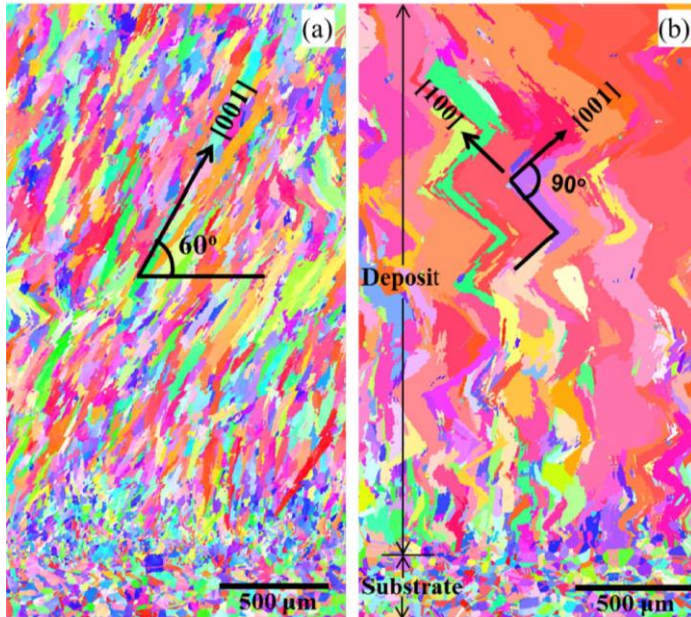
In Ti64,  $\beta$  grains grow epitaxially, i.e. with the same crystallographic orientation as the already solidified material lying below.

The columnar grains are aligned with the maximum thermal gradient, i.e. perpendicular to the melt pool. Depending on the scanning direction and speed, the angle between the grain direction and the build orientation can vary.



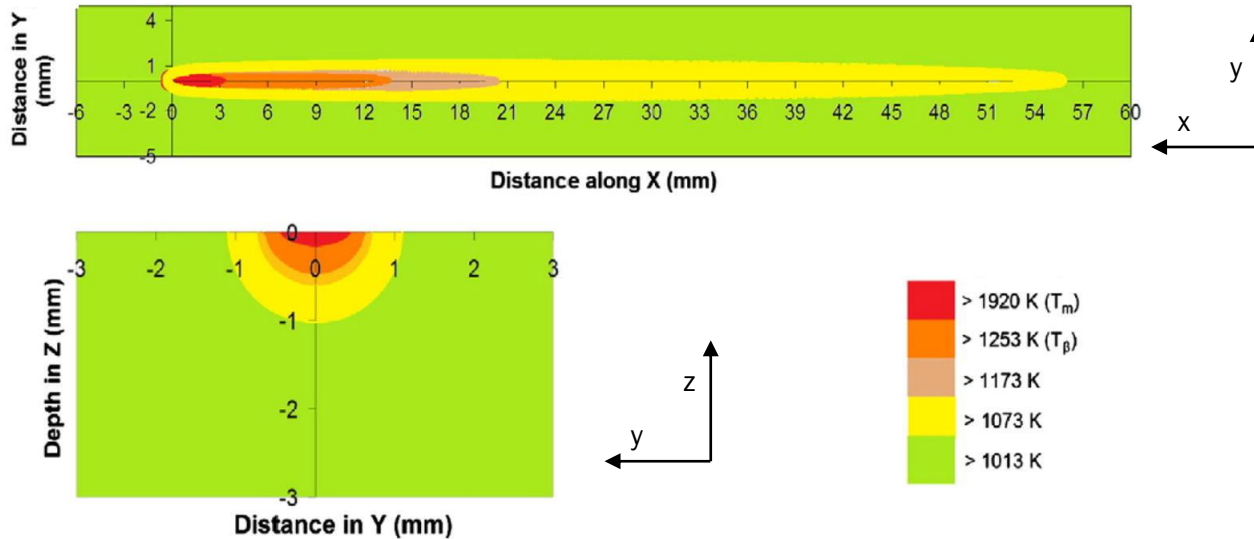
# Effect of scanning strategy

## Unidirectional vs bidirectional – DED longitudinal section



# Effect of scanning strategy

## Melt pool shape – transverse section



Although the melt pool is very elongated in the horizontal and longitudinal sections, the **transverse section** of the melt pool shows a **hemispheric shape**.

In the transverse cross-section, the directions of the **columnar grains** are **perpendicular to the melt pool boundary**.



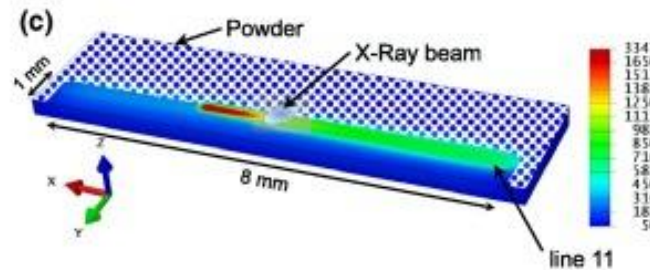
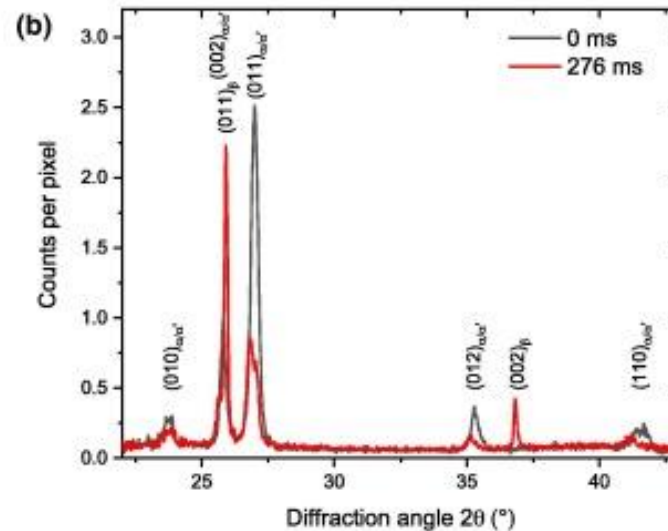
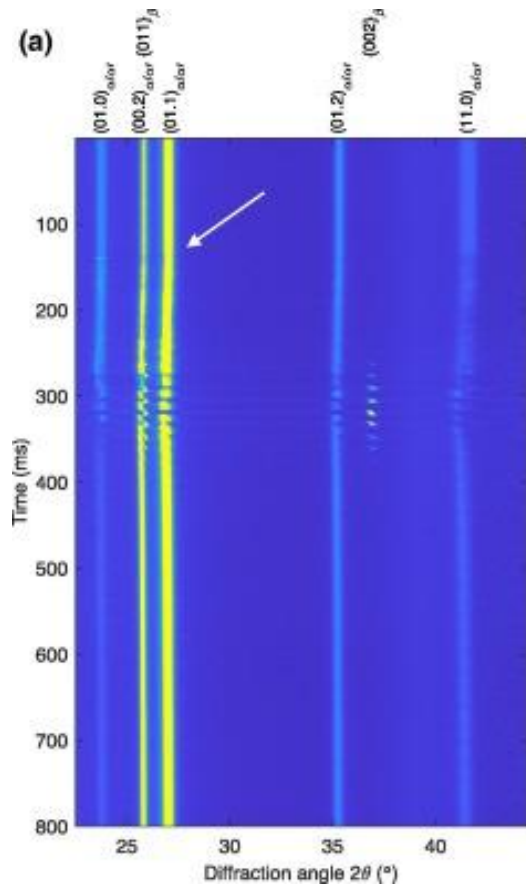
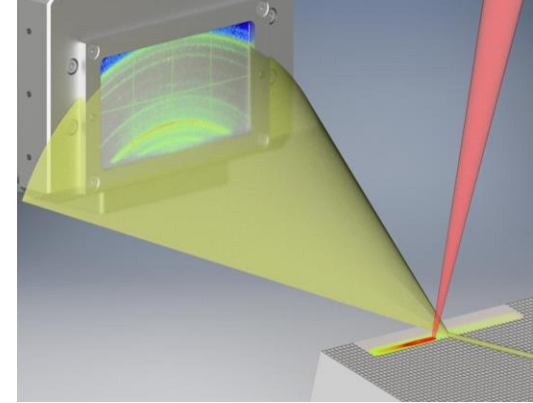
# Microstructure control through processing

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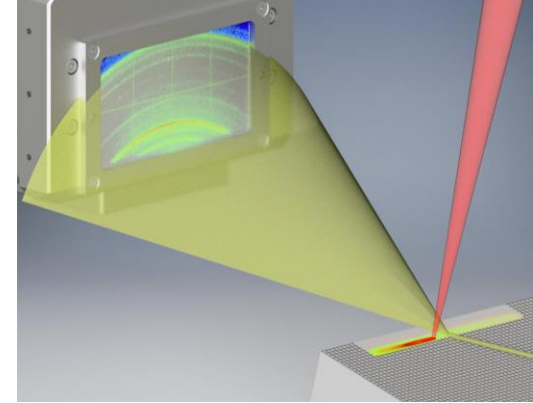
# Effect of scanning length

**Operando X-ray diffraction:** allows to follow the phase evolution during printing, shown as an intensity vs. diffraction angle and time by stacking thousands of individual diffraction patterns.



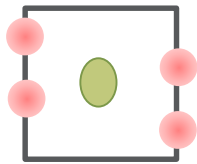
# Effect of scanning length

**Operando X-ray diffraction:** allows to follow the phase evolution during printing, shown as an intensity vs. diffraction angle and time by stacking 16 000 individual diffraction patterns.



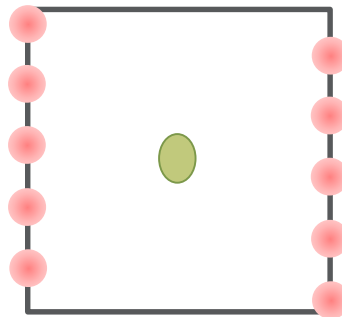
● area probed by X-ray beam

● laser beam

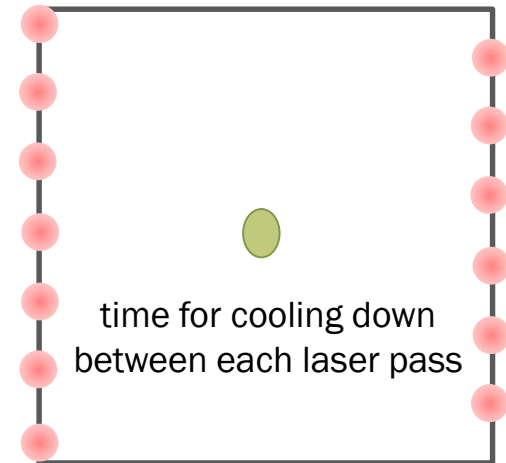


no time for cooling down  
between each laser pass

**2x2 mm<sup>2</sup>**



**4x4 mm<sup>2</sup>**

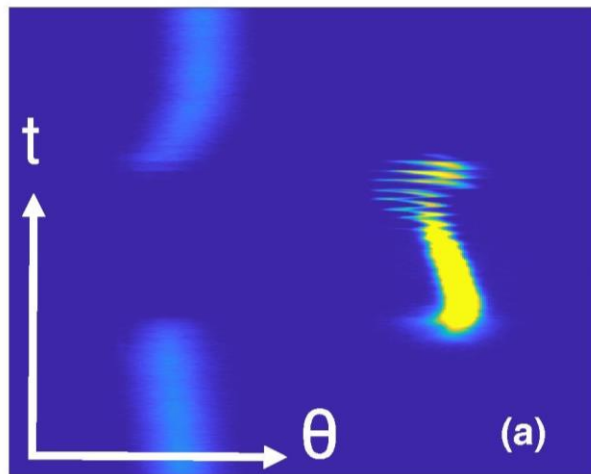
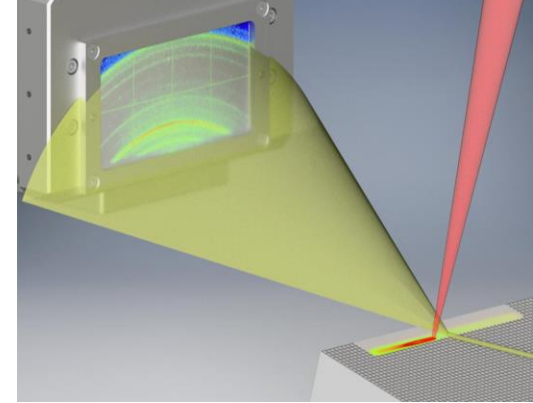


**6x6 mm<sup>2</sup>**

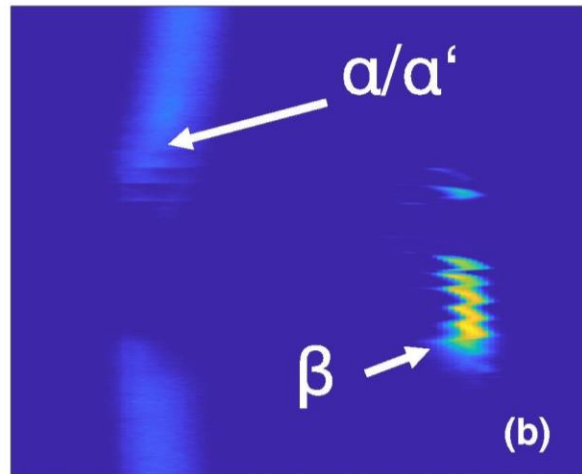
Increasing the length of the scanning vector changes the thermal history of the material. The area probed by the X-ray beam **remains in the  $\beta$  phase** during 70 ms (**17 cycles**) in the **2x2 mm<sup>2</sup>** square and 45 ms (7 cycles) in the **4x4 mm<sup>2</sup>** square. For the **6x6 mm<sup>2</sup>** square, in **each cycle  $\beta$  is transformed back to the  $\alpha$  phase** during cool down.

# Effect of scanning length

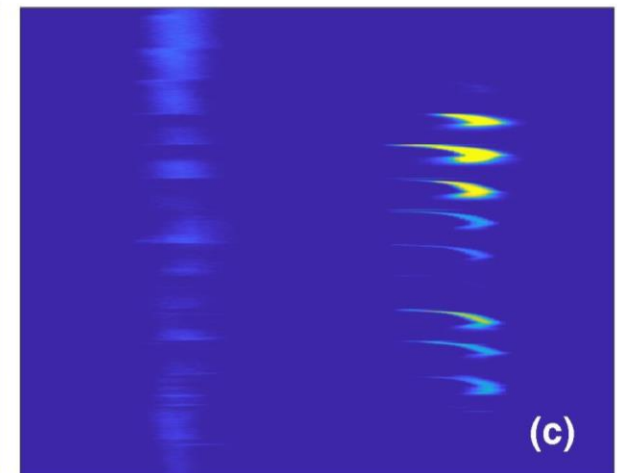
**Operando X-ray diffraction:** allows to follow the phase evolution during printing, shown as an intensity vs. diffraction angle and time by stacking 16 000 individual diffraction patterns.



**2x2 mm<sup>2</sup>**



**4x4 mm<sup>2</sup>**

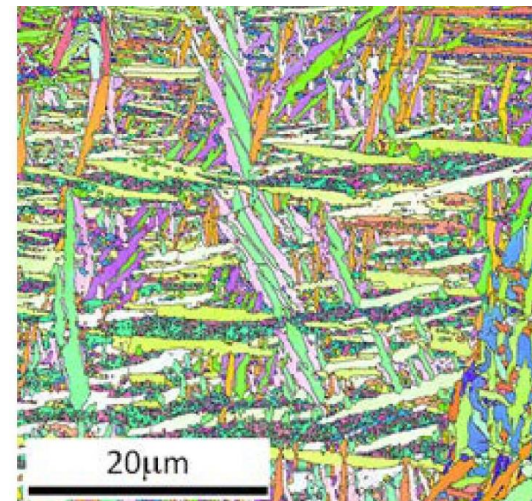
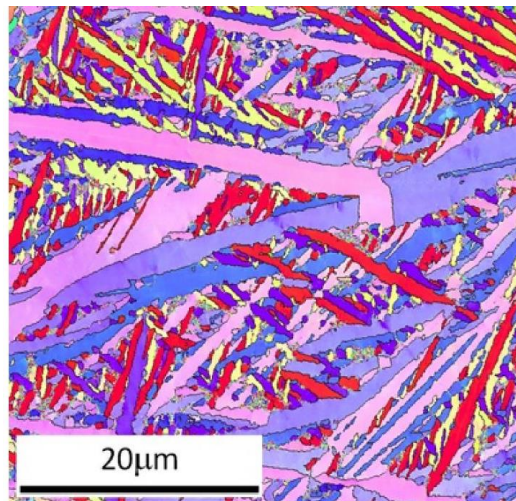
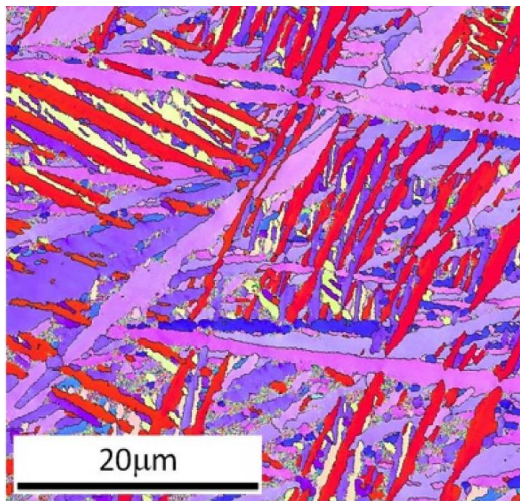
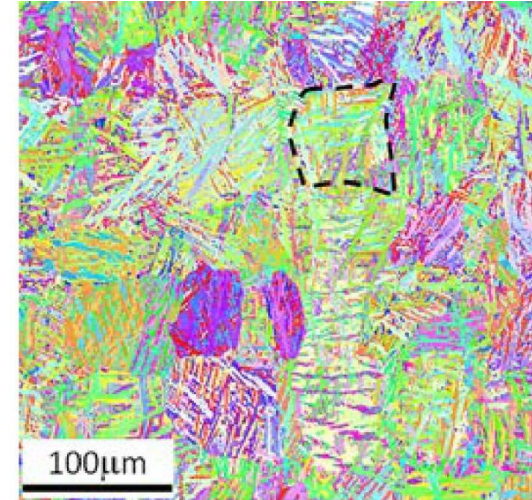
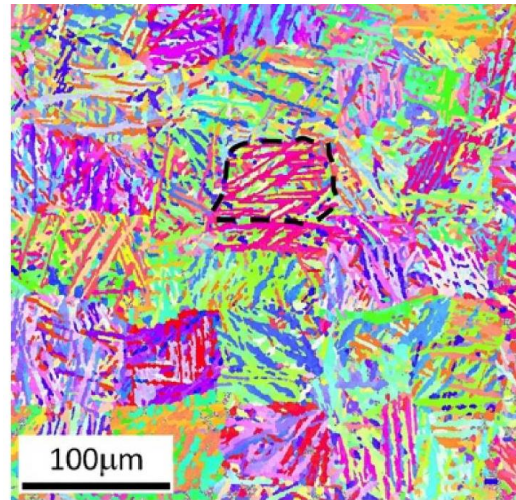
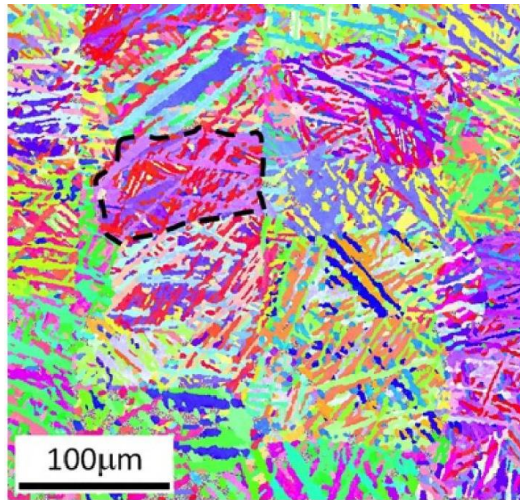


**6x6 mm<sup>2</sup>**

By increasing the length of the scanning vector the high temperature  $\beta$ -phase exists over a shorter time and exhibits higher cooling rates.



# Effect of scanning length



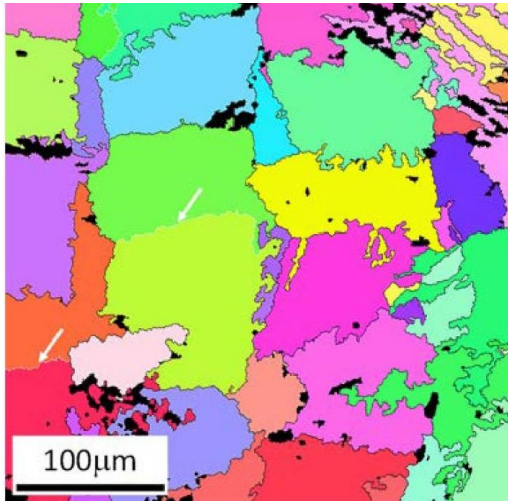
**2x2 mm<sup>2</sup>**

**4x4 mm<sup>2</sup>**

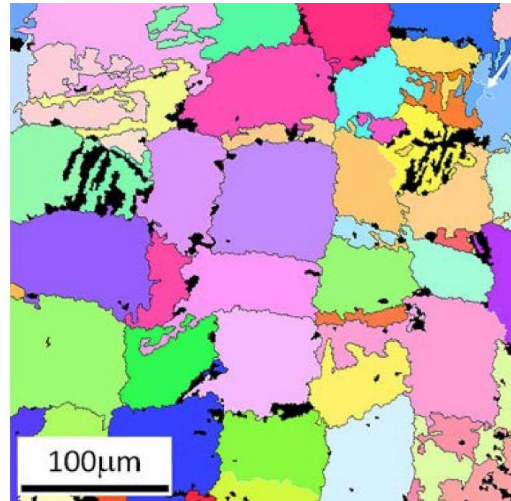
**6x6 mm<sup>2</sup>**



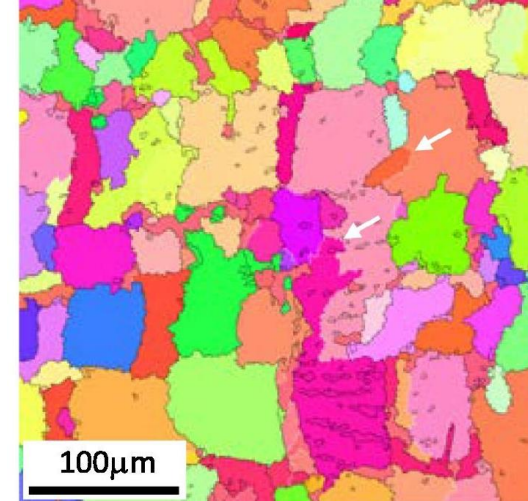
# Effect of scanning length



**2x2 mm<sup>2</sup>**



**4x4 mm<sup>2</sup>**



**6x6 mm<sup>2</sup>**

## **Numerical reconstruction of the parent $\beta$ grains.**

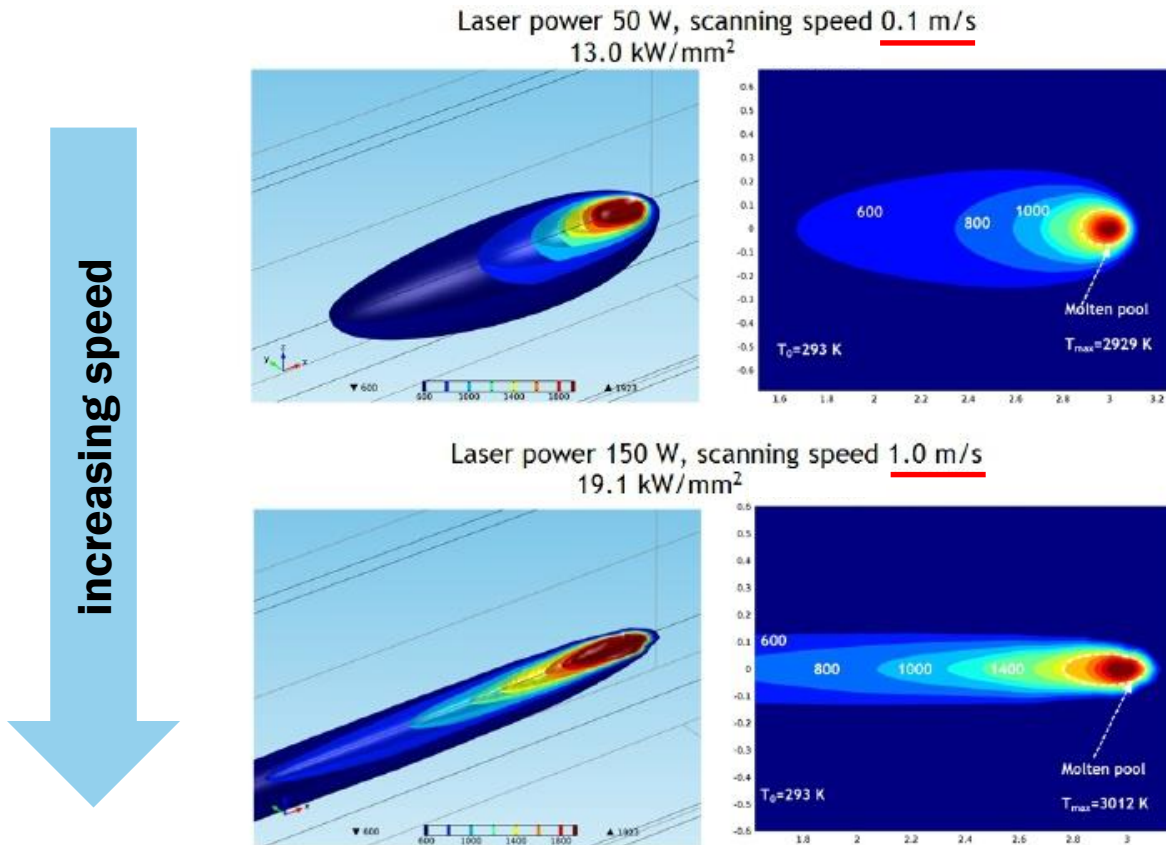
$\beta$  grains are larger in the 2x2 mm<sup>2</sup> than in the 6x6 mm<sup>2</sup>  
due to the material staying above the  $\beta$  transus for a longer time

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# Effect of process parameters: scanning speed

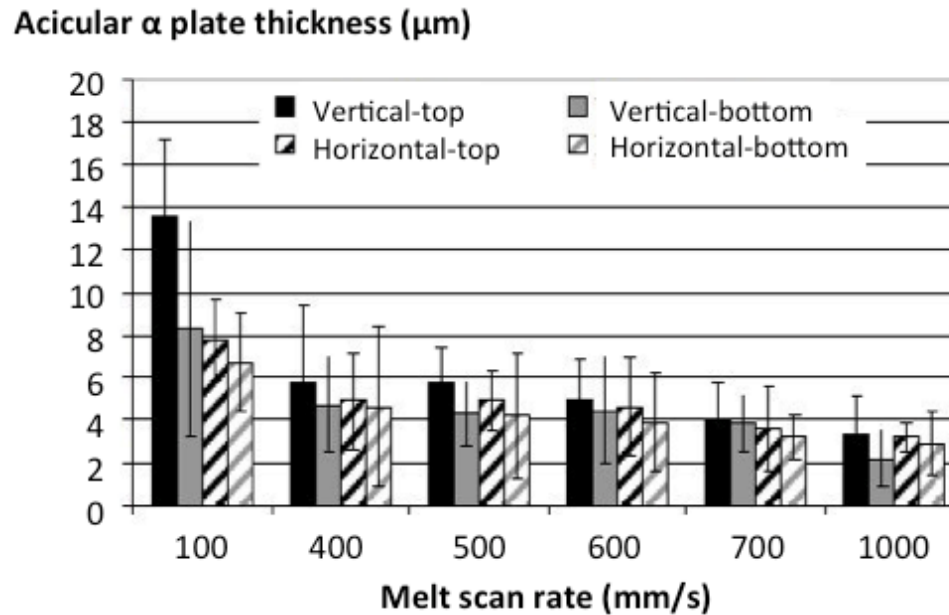
## Effect of scanning speed on melt pool geometry



By increasing the scanning speed, the melt pool tends to become **more elongated**, hence favoring a **vertical orientation of the grains**.

# Effect of process parameters: scanning speed

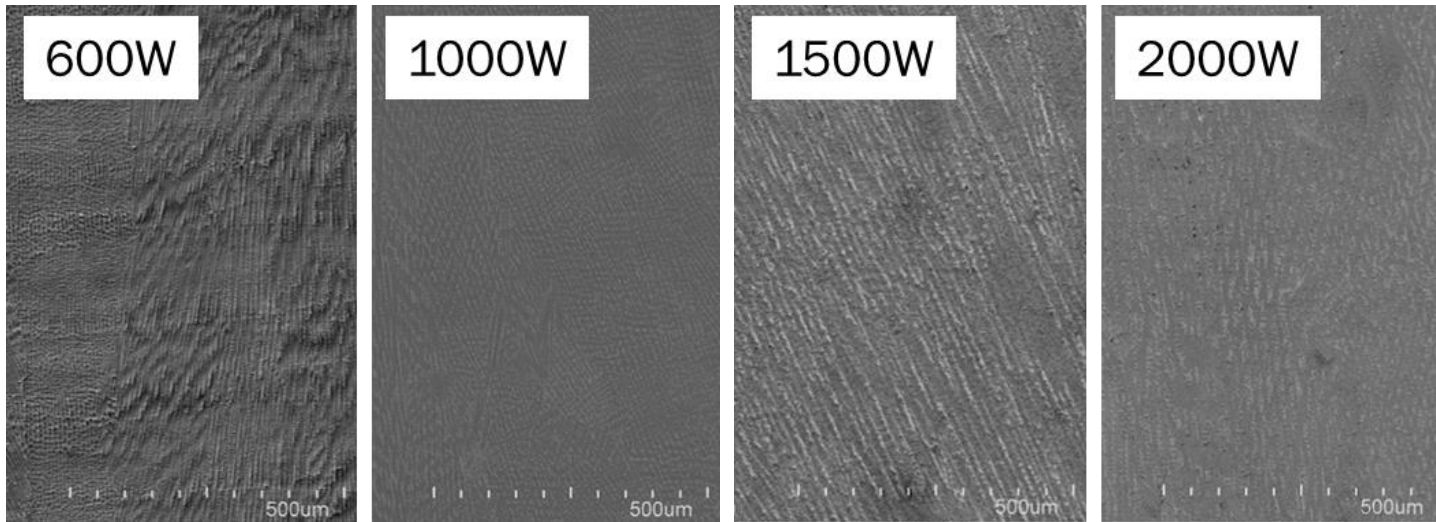
## Effect of scanning speed on grain size



higher scan speed  $\rightarrow$  faster cooling  $\rightarrow$  smaller grain size

# Effect of process parameters: power

## Effect of power on cellular spacing

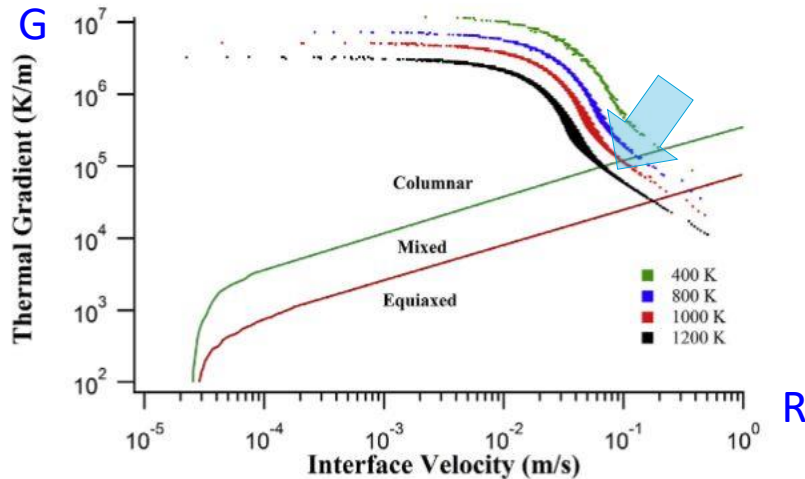


The dendrite arm spacing increases with increasing power  
(lower cooling rate)



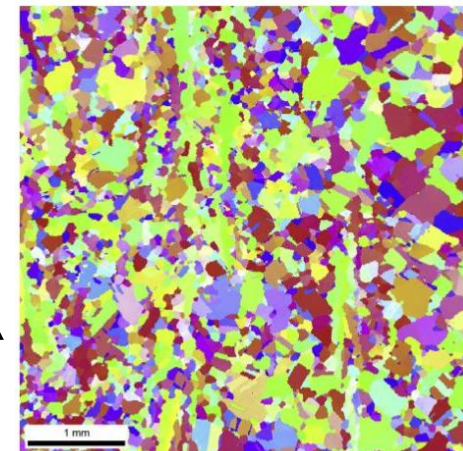
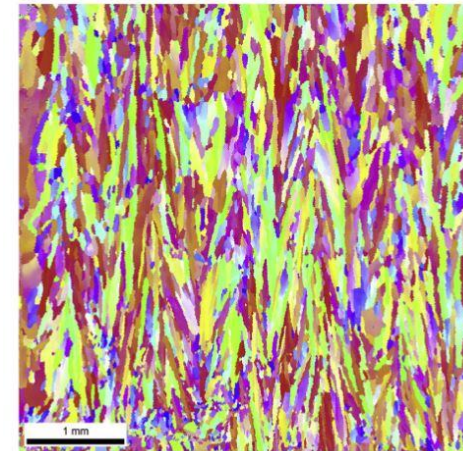
# Effect of process parameters: preheating

## Effect of preheating on grain shape



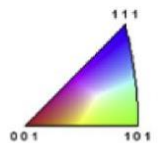
Change in temperature gradient  $G$  and liquid-solid interface velocity  $R$  of spot melt as a function of preheat temperature.

The volume fraction of **equiaxed grains increases** with an increase in **preheat temperature**.



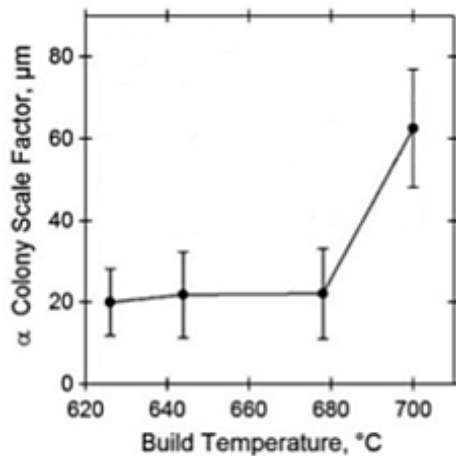
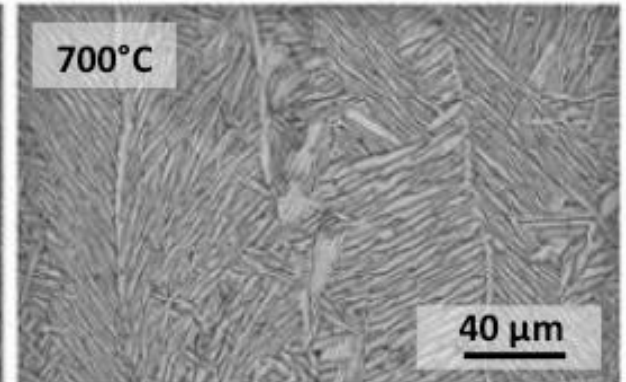
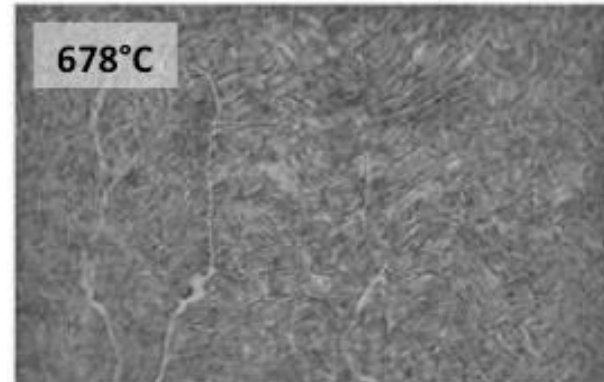
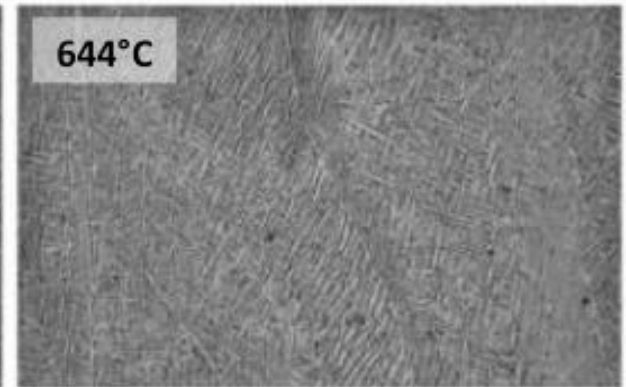
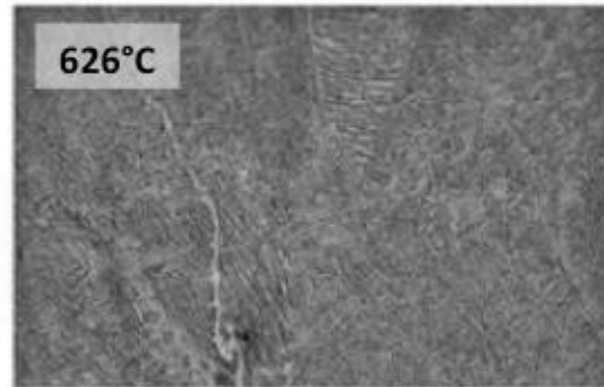
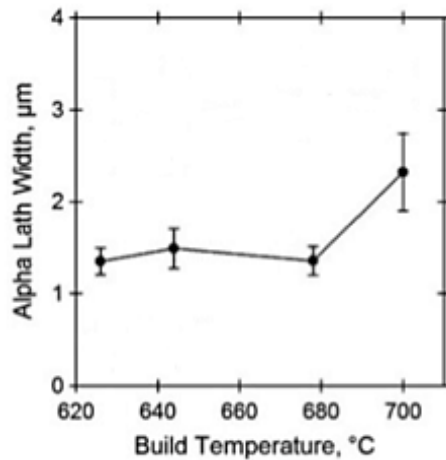
BD ↑

increasing preheat temp.



# Effect of process parameters: preheating

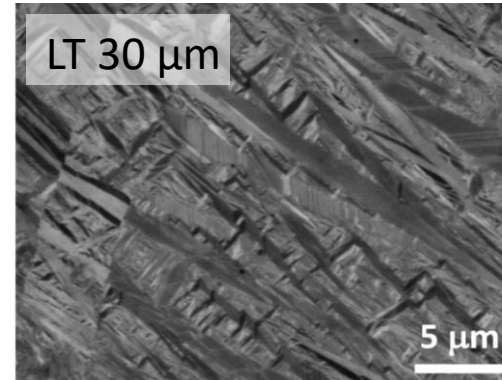
## Effect of preheating on grain size



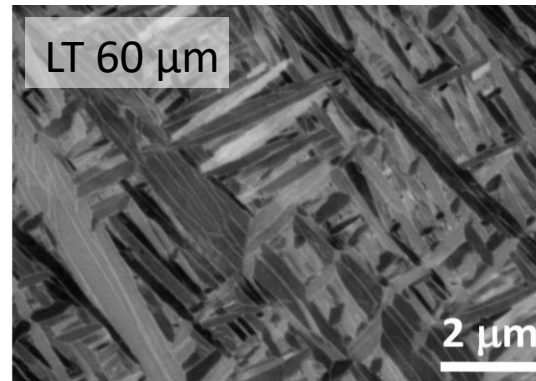
# Effect of process parameters: layer thickness

**30  $\mu\text{m}$  layer thickness:**  
acicular  $\alpha'$  martensite

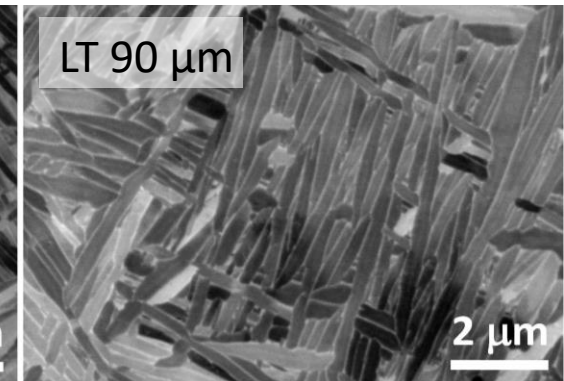
**60  $\mu\text{m}$  and 90  $\mu\text{m}$  layer thickness:**  
ultrafine lamellar ( $\alpha + \beta$ ) structure.



**P = 175W**  
**v = 710 mm/s**

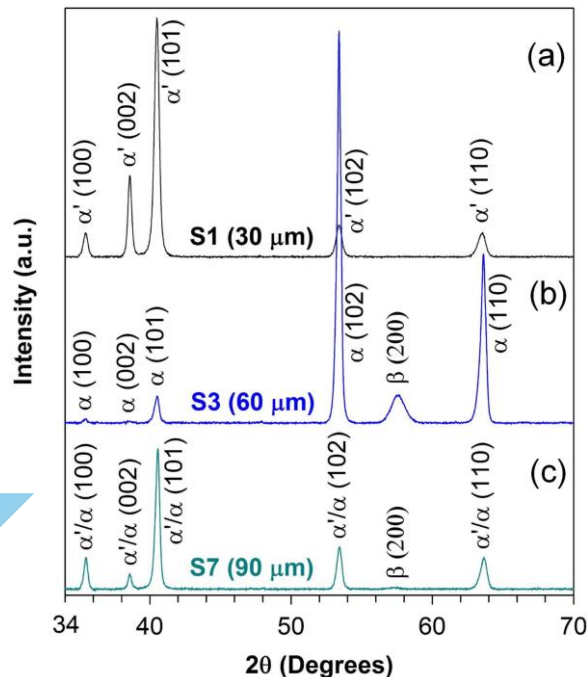


**P = 375W**  
**v = 1029 mm/s**



**P = 375W**  
**v = 686 mm/s**

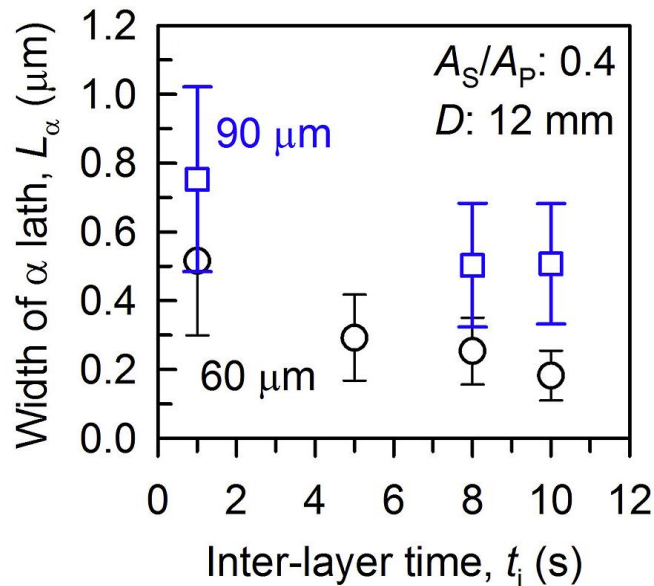
increasing layer  
thickness



increase in layer thickness (larger melt pool)  
⇒ lower cooling rate, possible martensite decomposition



# Effect of process parameters: inter-layer time



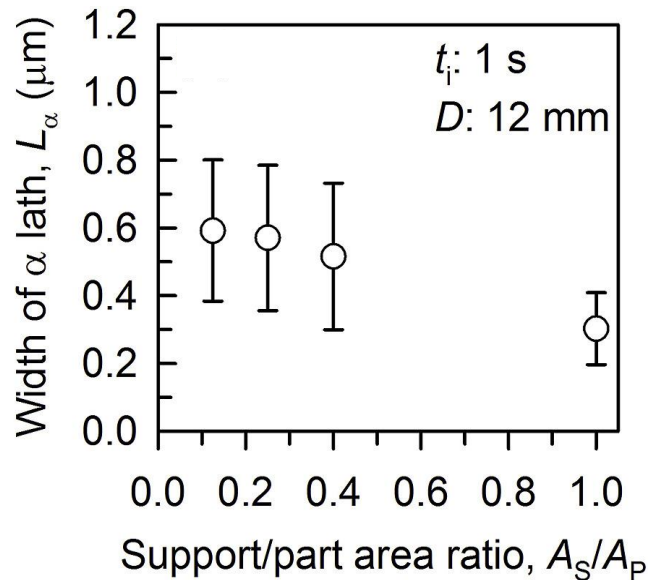
increasing inter-layer time

A longer inter-layer time is not beneficial to achieve the lamellar ( $\alpha+\beta$ ) microstructure: with an increase in  $t_i$  from 1s to 10s, the width of  $\alpha$  laths substantially decreases.

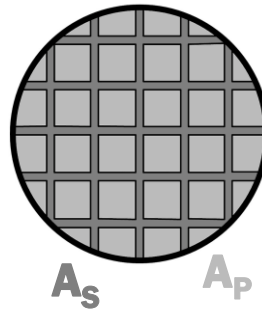
The inter-layer time is a decisive factor in promoting in-situ martensite decomposition for the achievement of a lamellar ( $\alpha+\beta$ ) microstructure.

- Lower average temperature
- Higher cooling rate
- Finer microstructure / favours martensite

# Effect of process parameters: supports



increasing contact area  
between supports and part

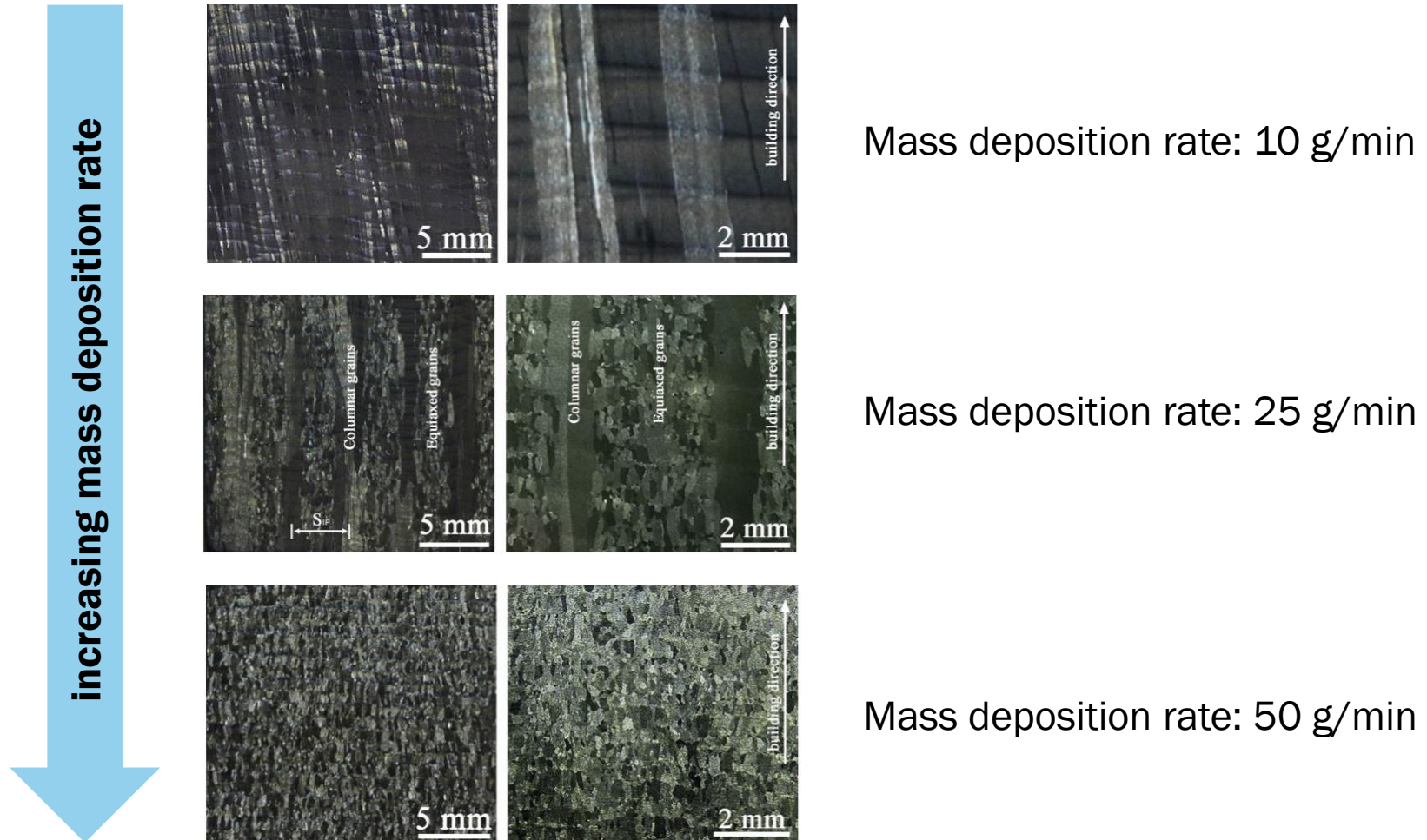


The **increase** in **contact area** leads to finer lamellar microstructure, due to **higher cooling rates**.

Combined with the tuning of other process parameters, the use of smaller support/part contact area can favor more significant in-situ martensite decomposition.

# Effect of process parameters: mass deposition rate (DED)

## Effect of mass deposition rate on grain shape



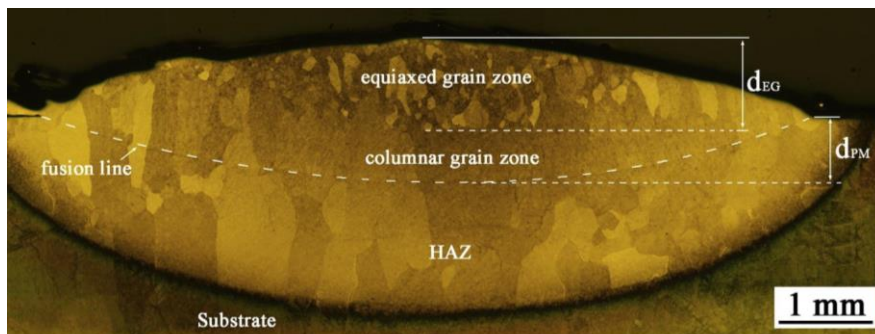
# Effect of process parameters: mass deposition rate (DED)

## Effect of mass deposition rate on grain shape

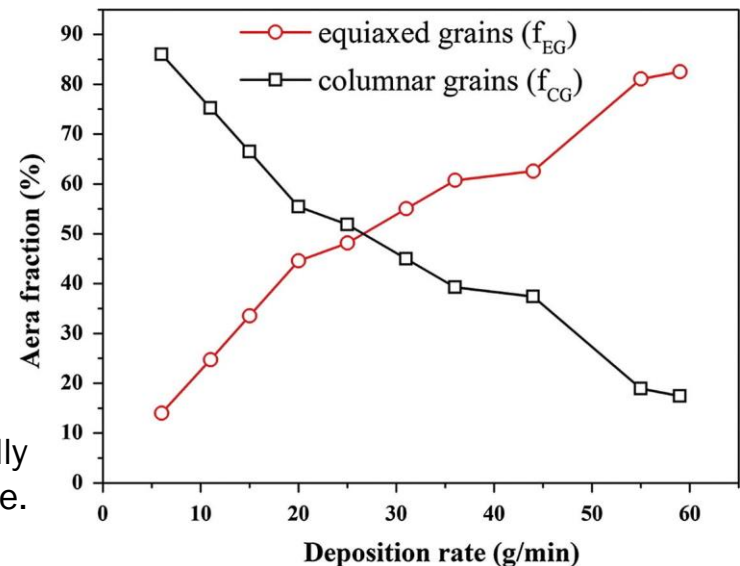
### Grain structures in a single line scan:

Large **columnar grains** in the near **pool-bottom** region: product of direct epitaxial grain growth from the parent grains at the pool bottom.

Fine **equiaxed grains** in the near **surface region**: **newly nucleated** at the pool surface and within the pool on partially melted powders as heterogeneous nucleation sites.



The fraction of equiaxed grains increases substantially with the increasing mass deposition rate.



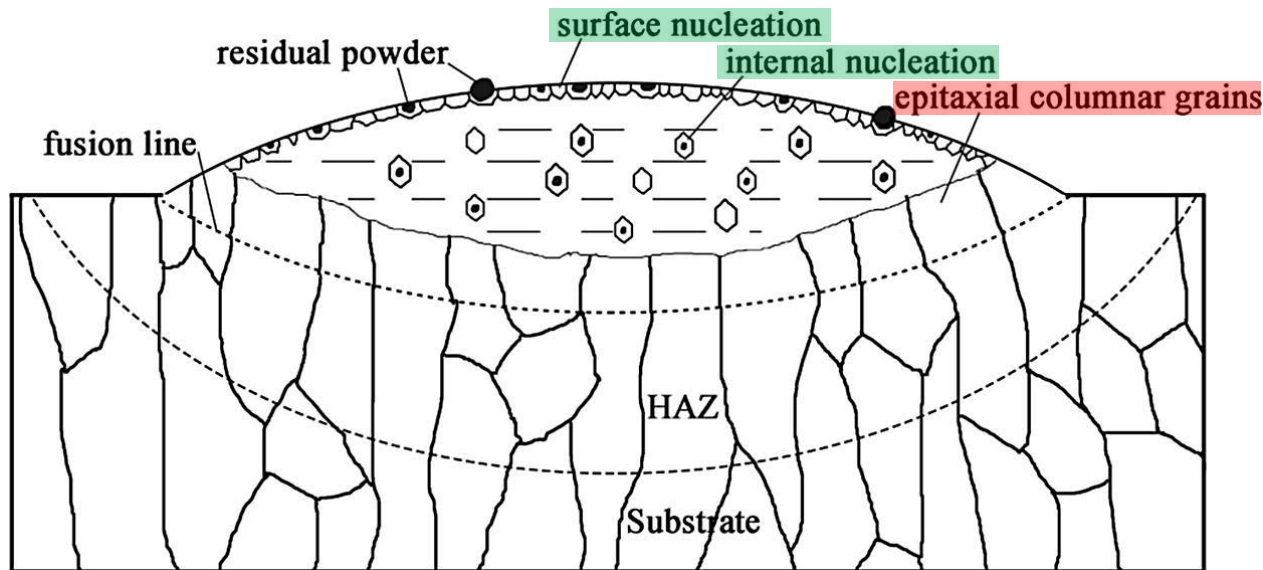
# Effect of process parameters: mass deposition rate (DED)

## Effect of mass deposition rate on grain shape

### Grain structures in a single line scan:

Two competing mechanisms:

- heterogeneous nucleation on the pool surface and in the melt pool on the partially melted powder particles
- epitaxial growth from the parent grains at the pool bottom



# Effect of process parameters: mass deposition rate (DED)

## Effect of mass deposition rate on grain shape

### Grain structures in a single line scan:

Two competing mechanisms:

- heterogeneous nucleation on the pool surface and in the melt pool on the partially melted powder particles
- epitaxial growth from the parent grains at the pool bottom

### Lower mass deposition rate:

⇒ higher excessive energy input for a more complete melting of the powder

1. less residual powder particles at the pool surface and within the melt pool

⇒ less heterogeneous nucleation sites

⇒ lower fraction of equiaxed grains

2. larger penetration re-melting depth

⇒ more favorable epitaxial growth from the parent grains at the pool bottom

# Microstructure control through processing

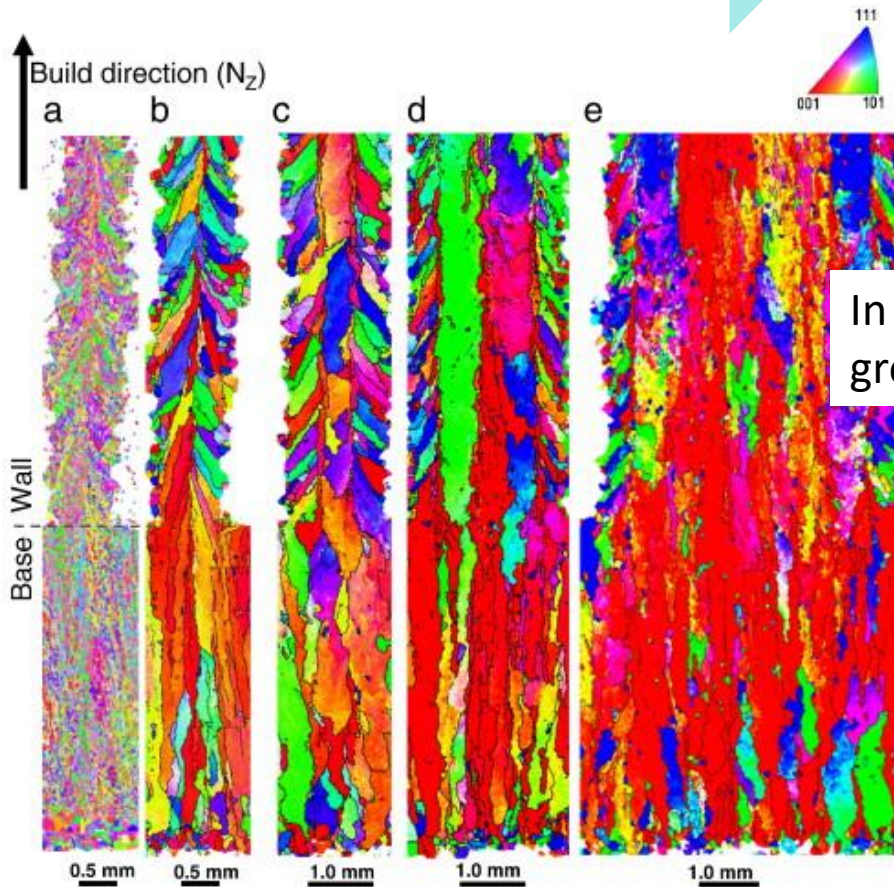
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# Effect of build geometry

## Effect of build geometry on grain shape

increasing wall thickness

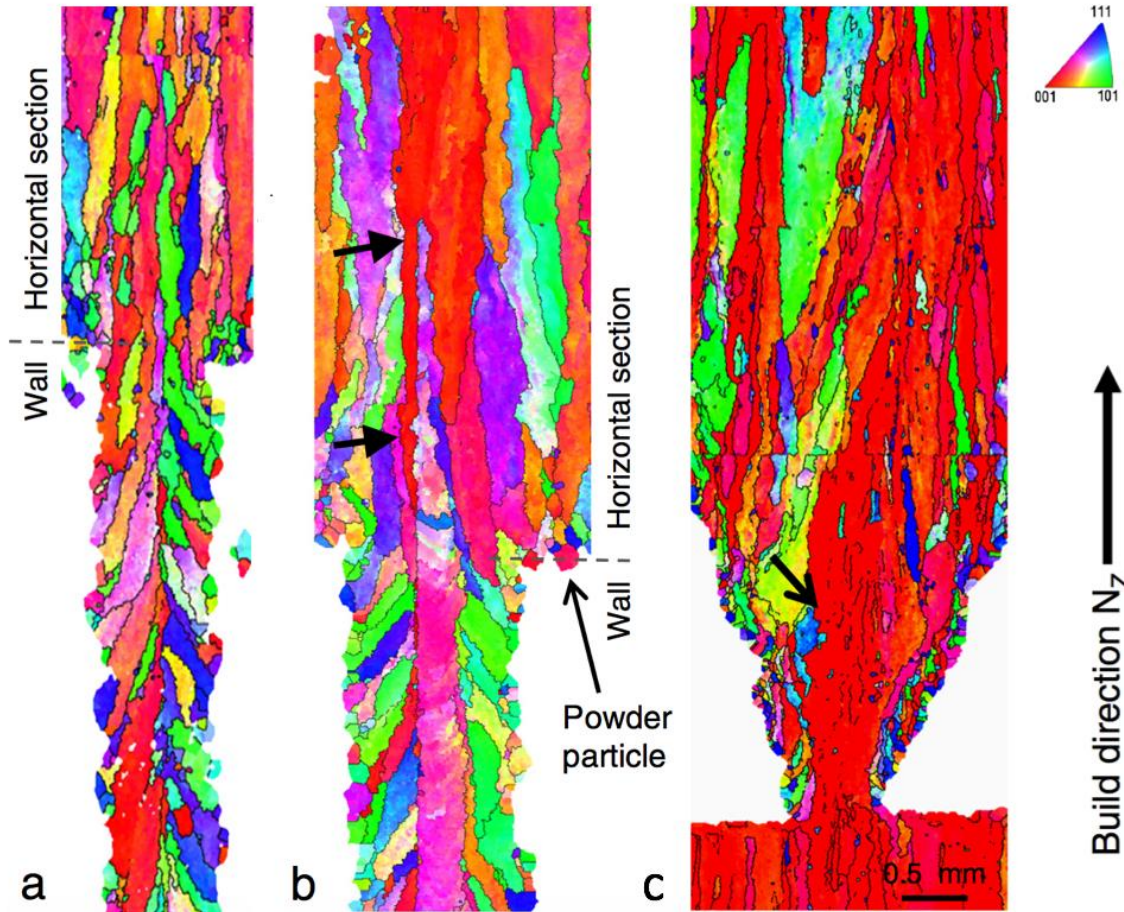


In bulk sections: coarse columnar grains grow normal to the deposited layers



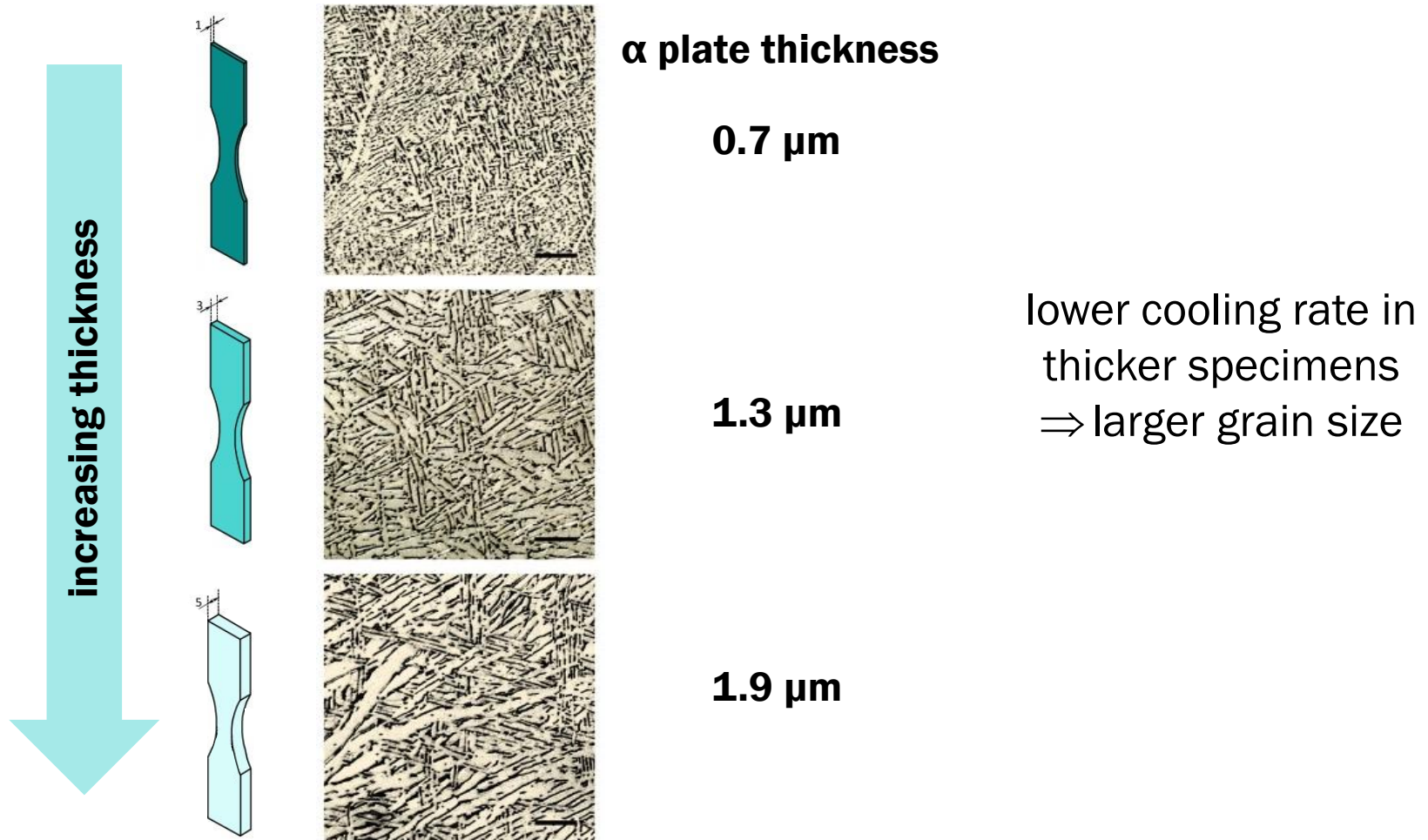
# Effect of build geometry

## Effect of build geometry on grain shape



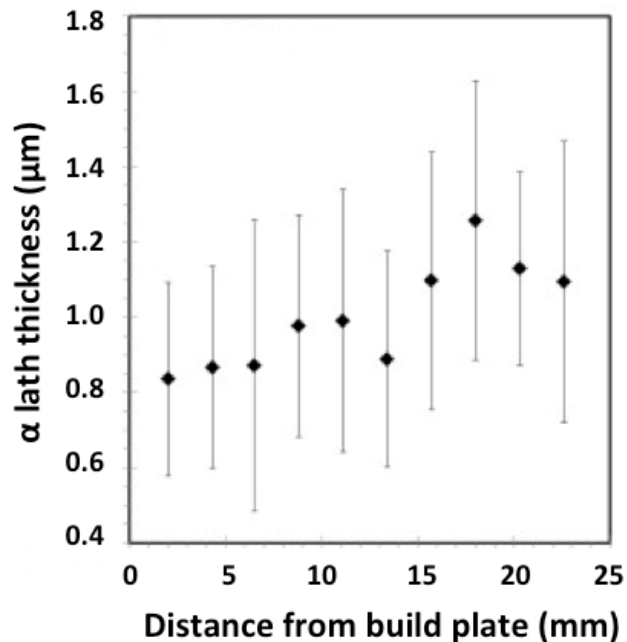
# Effect of build geometry

## Effect of build geometry on grain size

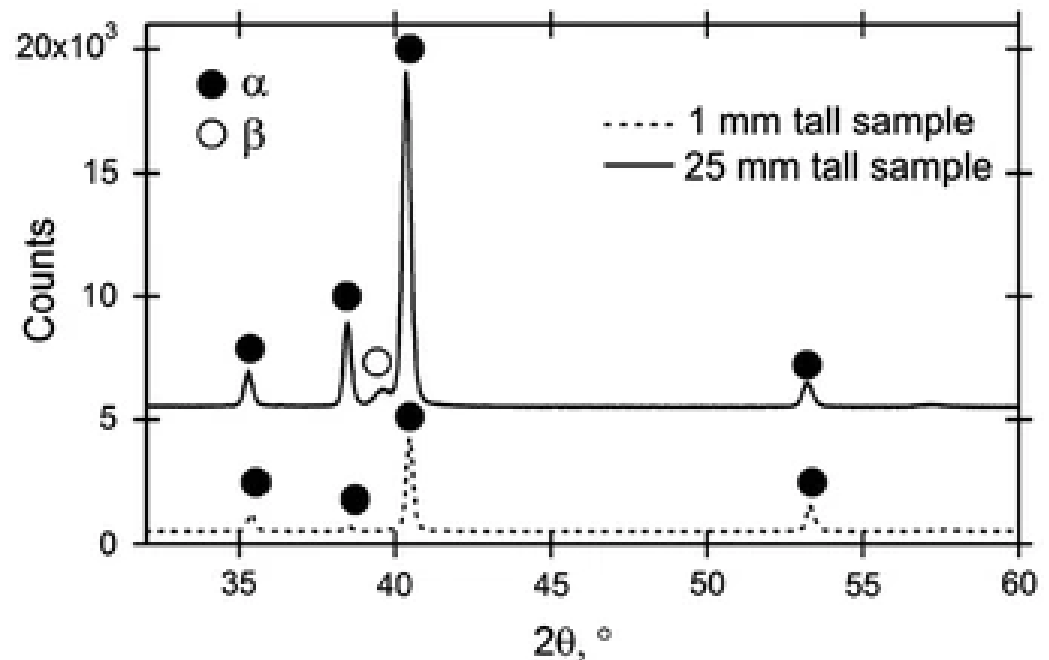


# Effect of distance from the build plate

## Effect of distance from the build plate on grain size



Increasing α lath thickness with increasing distance from the build plate



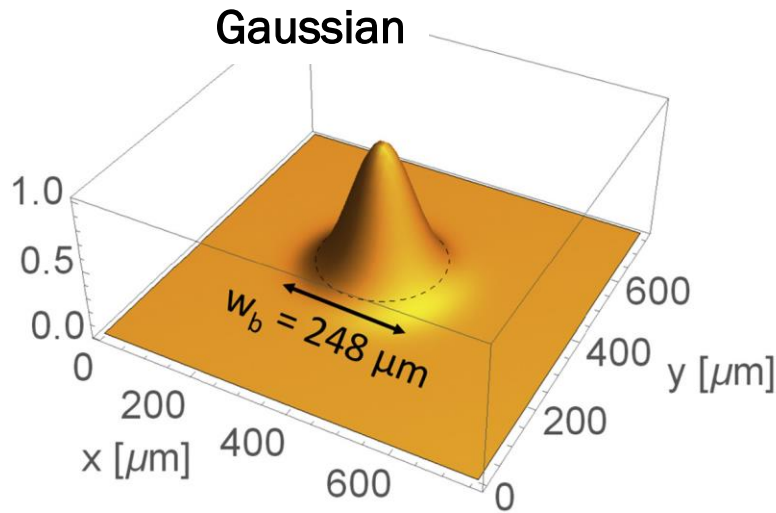
Evidence of α' martensite in small (1mm tall) samples and α+β in long (25mm) samples

# Microstructure control through processing

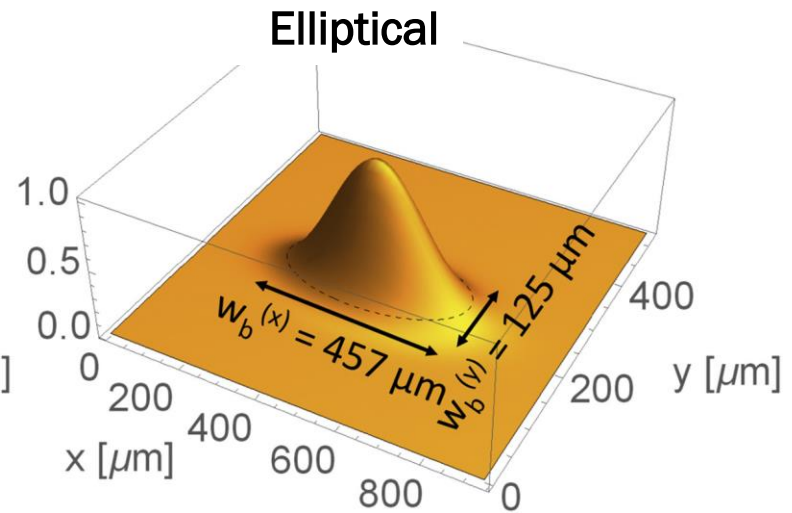
- Introduction: effect of thermal history on microstructure genesis
- Effect of scanning strategy
  - Effect of scanning direction
  - Effect of scanning length
- Effect of process parameters
- Effect of build geometry
- **Effect of beamshaping**
- Hybrid manufacturing
  - WAAM + rolling
  - LPBF + LSP

# Effect of beam shaping

**Beam shaping** = introduction of an added degree of freedom in process optimization



Gaussian laser intensity profiles are standard in laser-based metal additive manufacturing.



Using an optical set-up, the beam ellipticity and size can be adjusted.

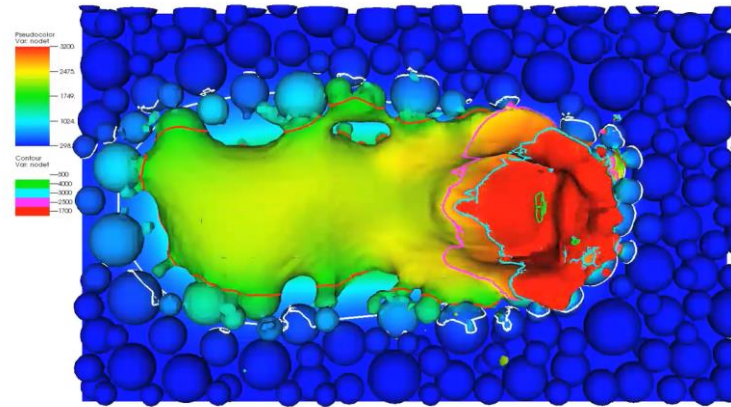
Beam shaping can modify the laser energy distribution and temperature gradient on the melt pool surface, hence affecting:

- the size of the overheated area
- Marangoni convection, recoil pressure and the corresponding stirring effect

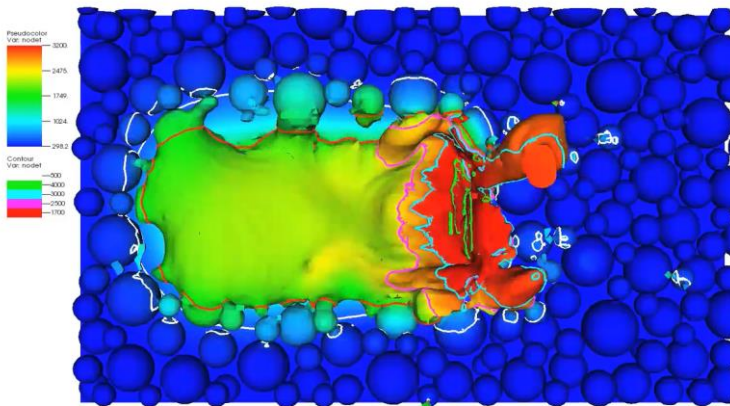


# Effect of beam shaping

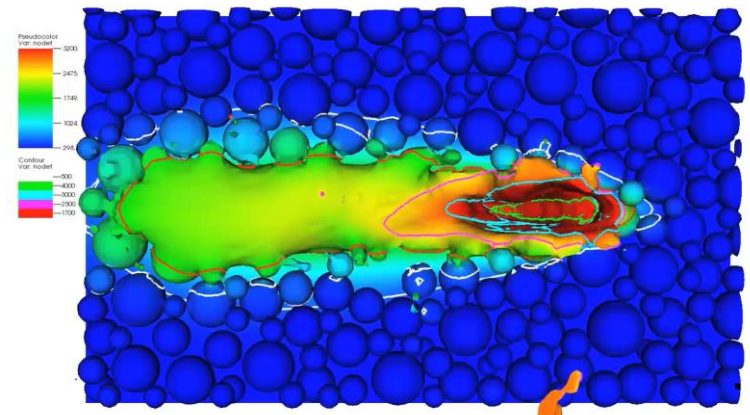
Gaussian



Elliptical - transverse



Elliptical - longitudinal



# Effect of beam shaping

Marangoni convection + recoil pressure effects

⇒ **melt vortex**, wherein hot molten metal is stirred from the depression towards the much cooler transition region at high velocity.

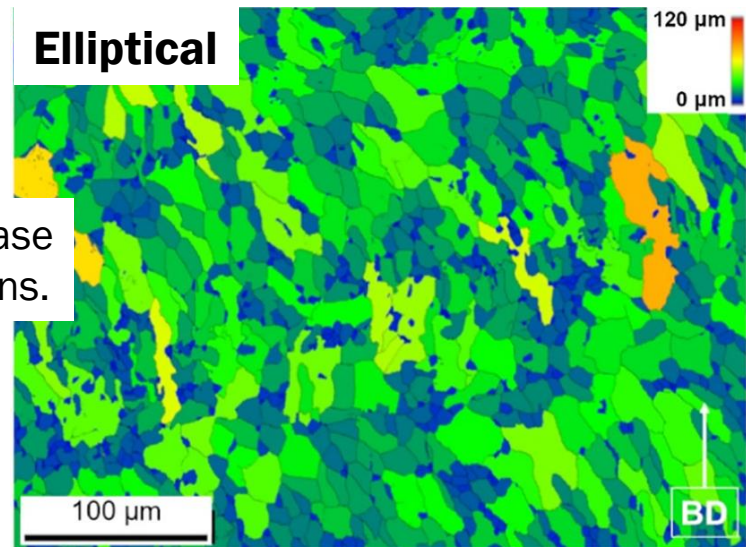
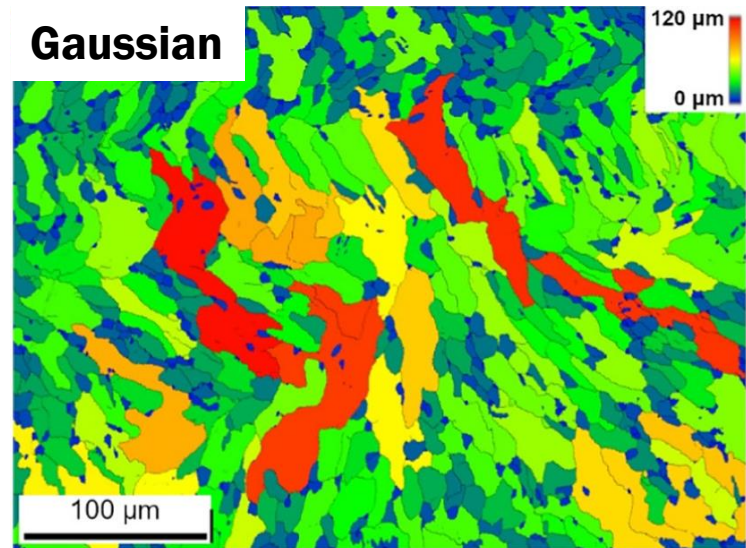
**Elliptical** intensity profile:

**higher melt flow velocities** than Gaussian profile.

⇒ **dendrite tip fragmentation** and redistribution

⇒ solid fragments acting as **nucleation sites** for equiaxed grains ahead of the growth front

Using an elliptical beam can increase the volume fraction of equiaxed grains.



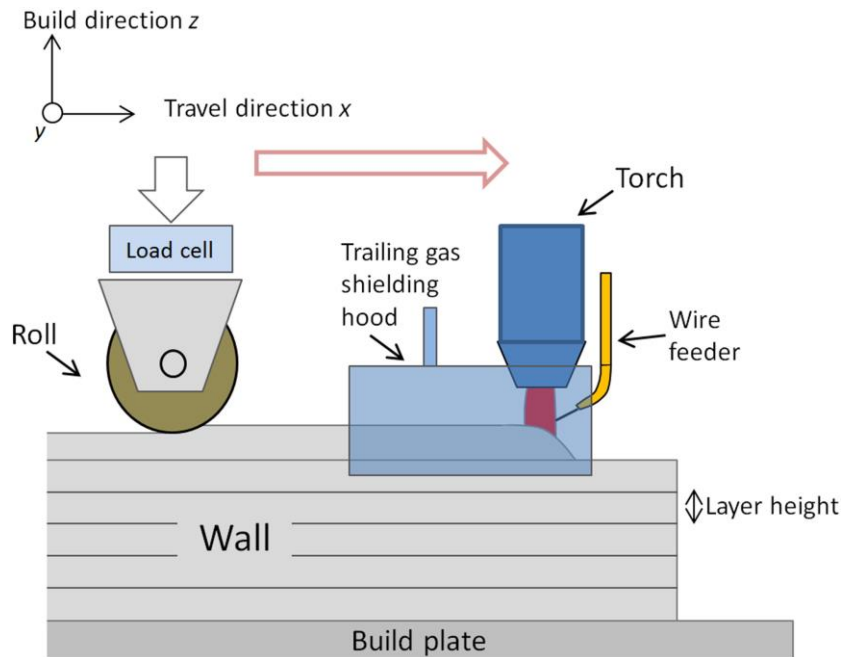
# Microstructure control through processing

- Introduction: effect of thermal history on microstructure genesis
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# Hybrid manufacturing: combining rolling and AM

**Objective:** refine the microstructure and transition from columnar to equiaxed morphology



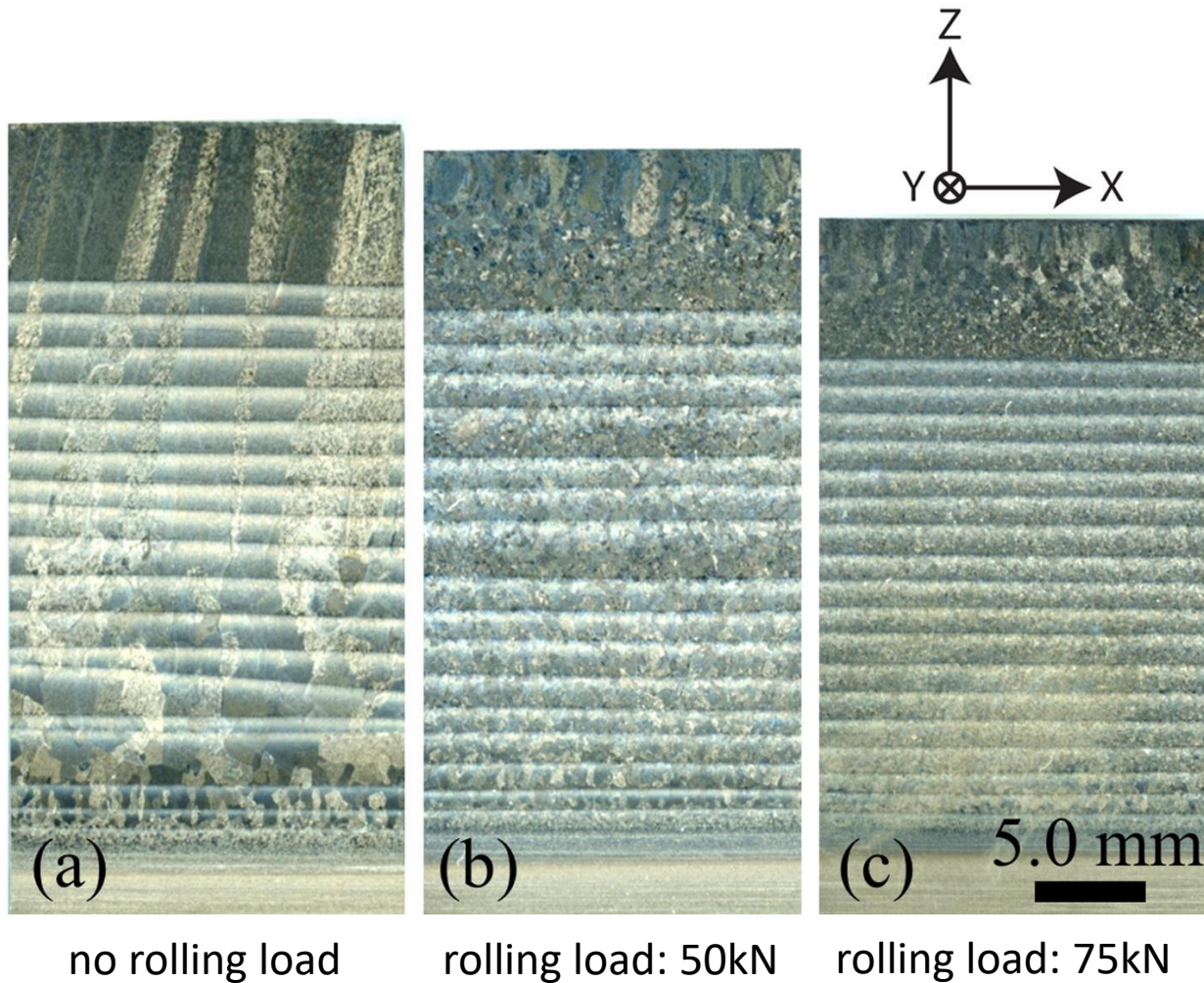
## Wire-Arc Additive Manufacturing (WAAM)

A consumable wire is fed at a controlled rate into an electric arc (or plasma) welding torch that is translated by a robot.

A small deformation step is introduced with the deposition of each layer, using a roller integrated with the AM system.

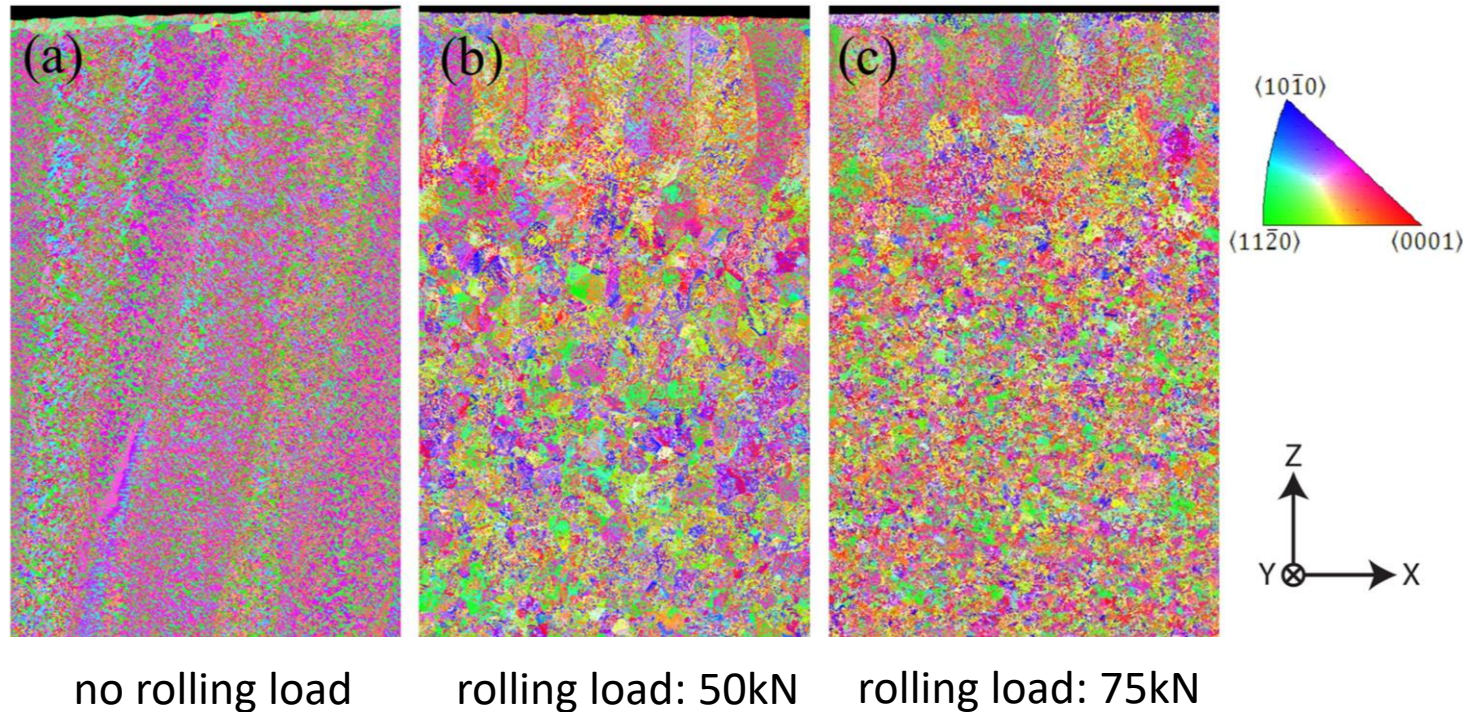
The amount of plastic deformation introduced into each layer is sufficient for recrystallization of the  $\beta$ -grains to occur during re-heating, when the next layer is deposited.

# Hybrid manufacturing: combining rolling and AM



# Hybrid manufacturing: combining rolling and AM

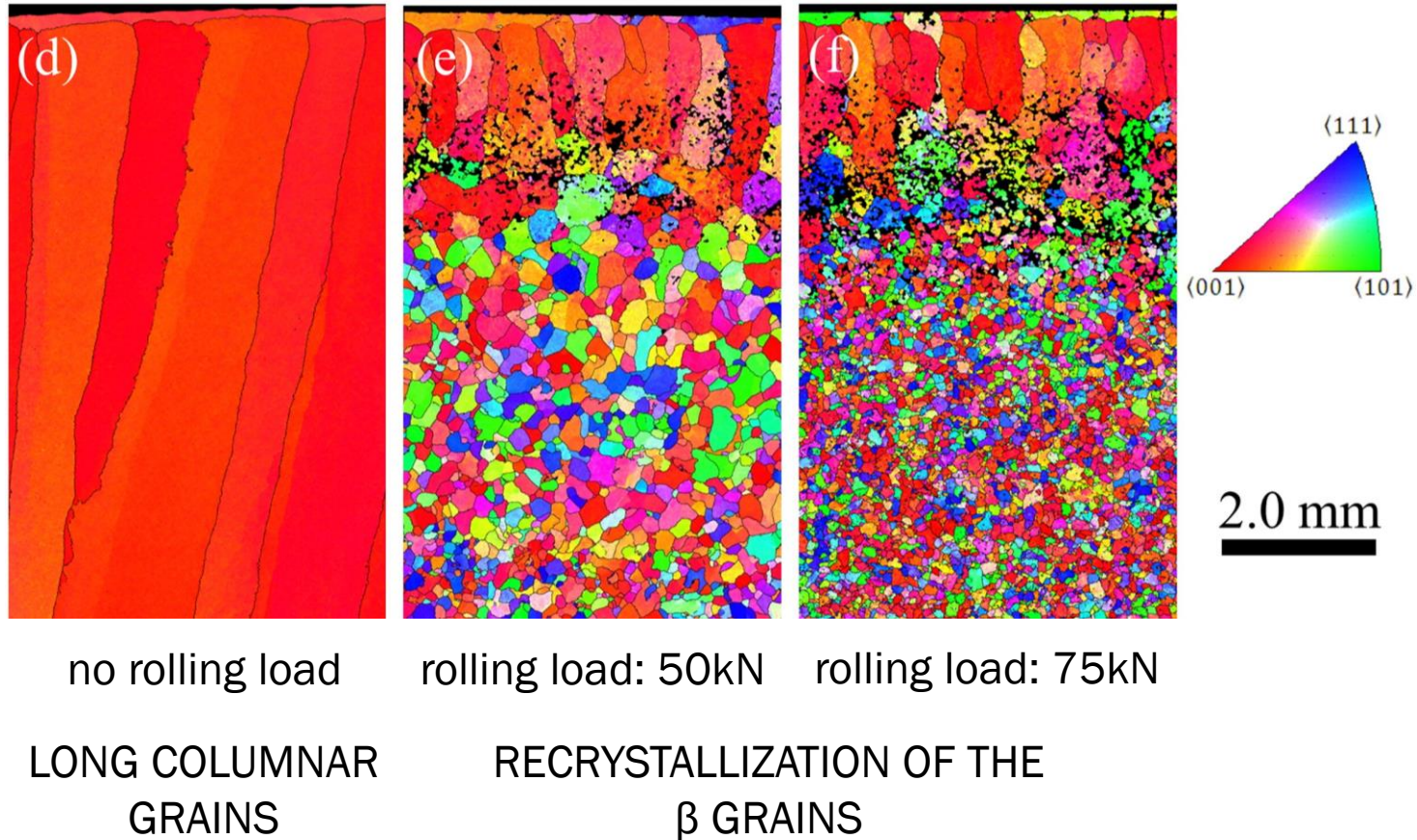
## Measured $\alpha$ -phase IPF orientation coloured EBSD maps





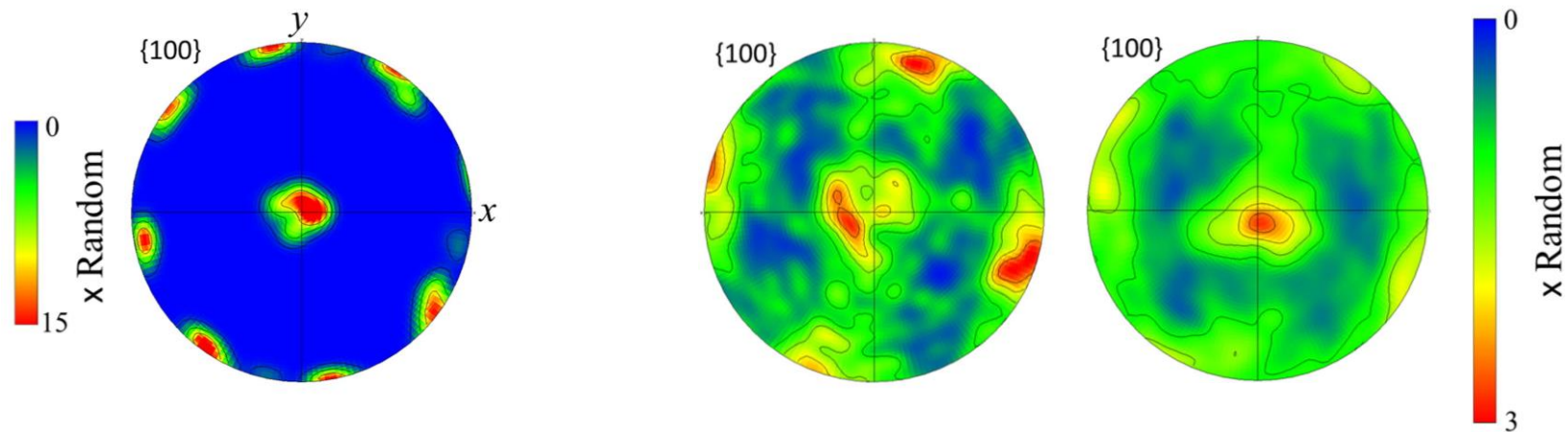
# Hybrid manufacturing: combining rolling and AM

## Reconstructed parent $\beta$ phase



# Hybrid manufacturing: combining rolling and AM

## Reconstructed parent $\beta$ phase – $\{100\}$ pole figures



no rolling load

rolling load: 50kN

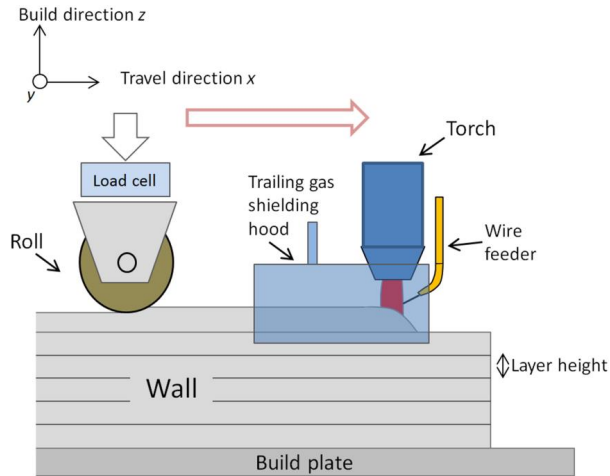
rolling load: 75kN

STRONG  $\langle 100 \rangle_{\beta}$  FIBRE  
TEXTURE

WEAK TEXTURE, WITH SOME RESIDUAL  
 $\langle 100 \rangle_{\beta}$  FIBRE ORIENTATIONS



# Hybrid manufacturing: combining rolling and AM



**Rolling + WAAM** is a useful technique for refining undesirable grain structures and reducing the intensity of the strong textures normally seen in the as-deposited material.

The compressive strain required to achieve a high level of  $\beta$  refinement is relatively low (19%), which makes it possible to apply this technology when producing simple geometries.

For more complex designs, other methods for introducing plastic deformation in AM should be considered (e.g. peening).

# Microstructure control through processing

- Introduction: effect of thermal history on microstructure genesis
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- Hybrid manufacturing
  - WAAM + rolling
  - LPBF + LSP

# Hybrid manufacturing: combining LSP and AM

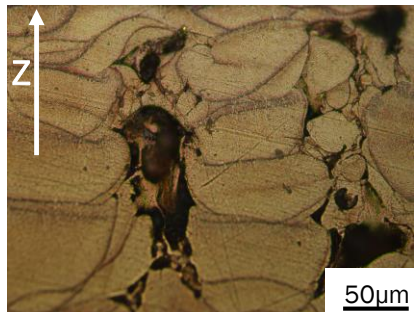
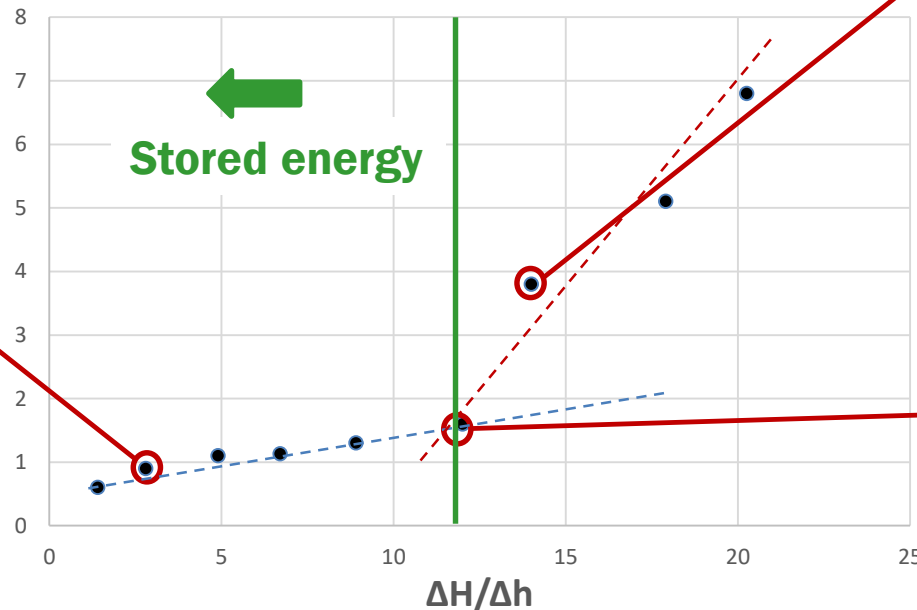
## LPBF processing and stored (dislocation) energy

Normalized enthalpy vs normalized melt pool depth

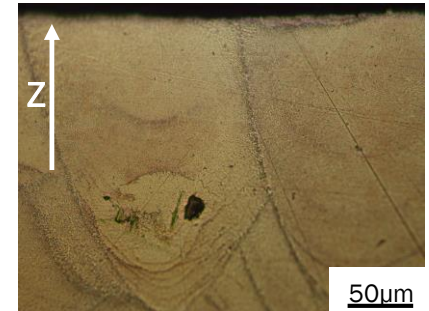
$$\Delta H = \left( \frac{aP}{\sqrt{\pi\sigma^3 V\alpha}} \right)$$

$$\Delta h = \rho(C_p\Delta T + L_m)$$

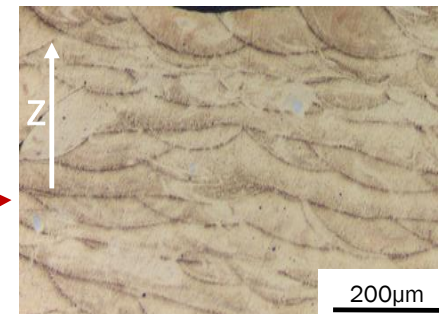
Melt pool depth / spot size



Lack of fusion (LoF)



Keyhole porosities  
Deep melt pool



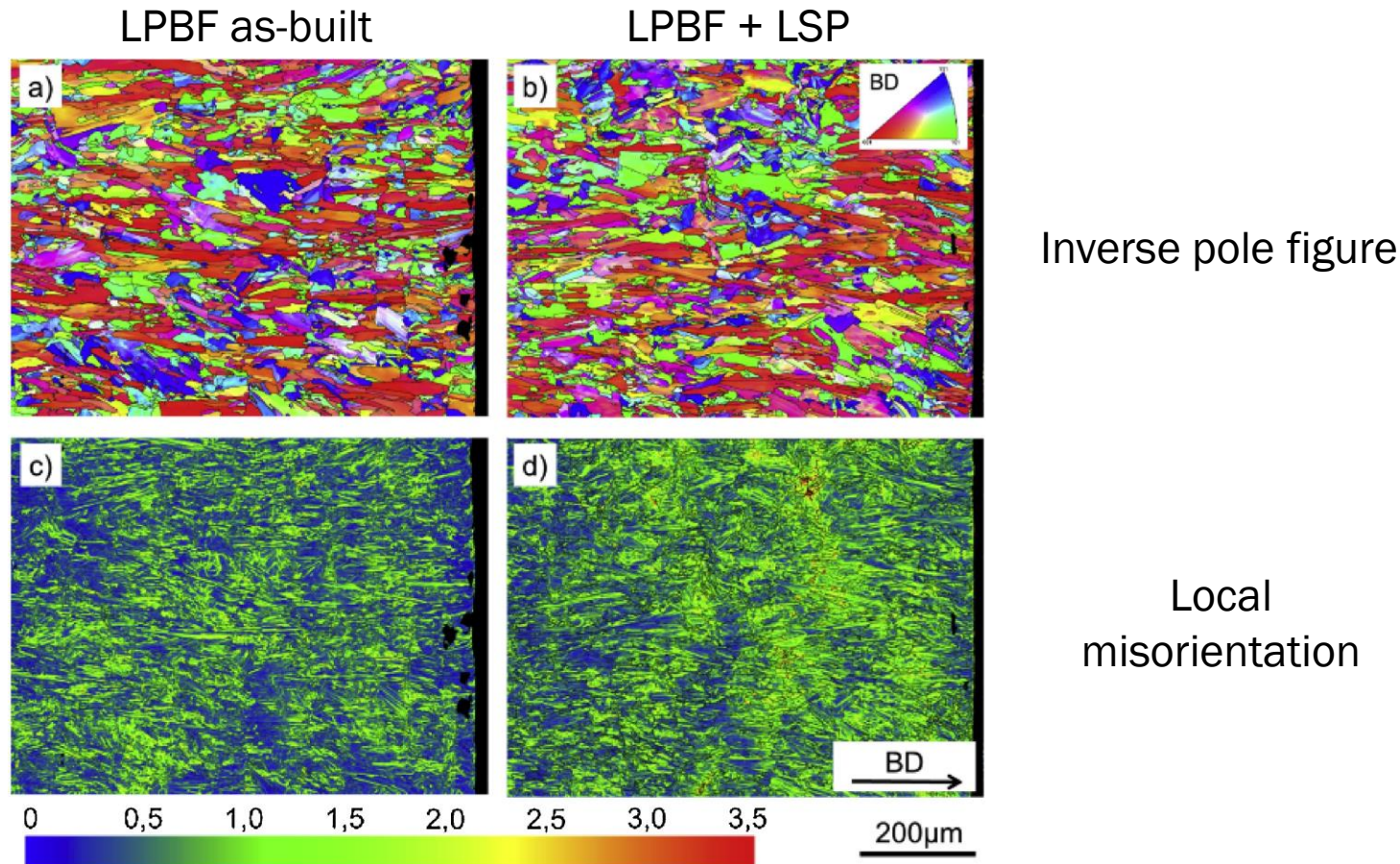
No Keyhole, No LoF  
**Conduction mode**

**Stored energy** increases when approaching LoF  
(reduced amount of heat, large thermal gradients)

# Hybrid manufacturing: combining LSP and AM

## Selective recrystallization by Laser Shock Peening (LSP)

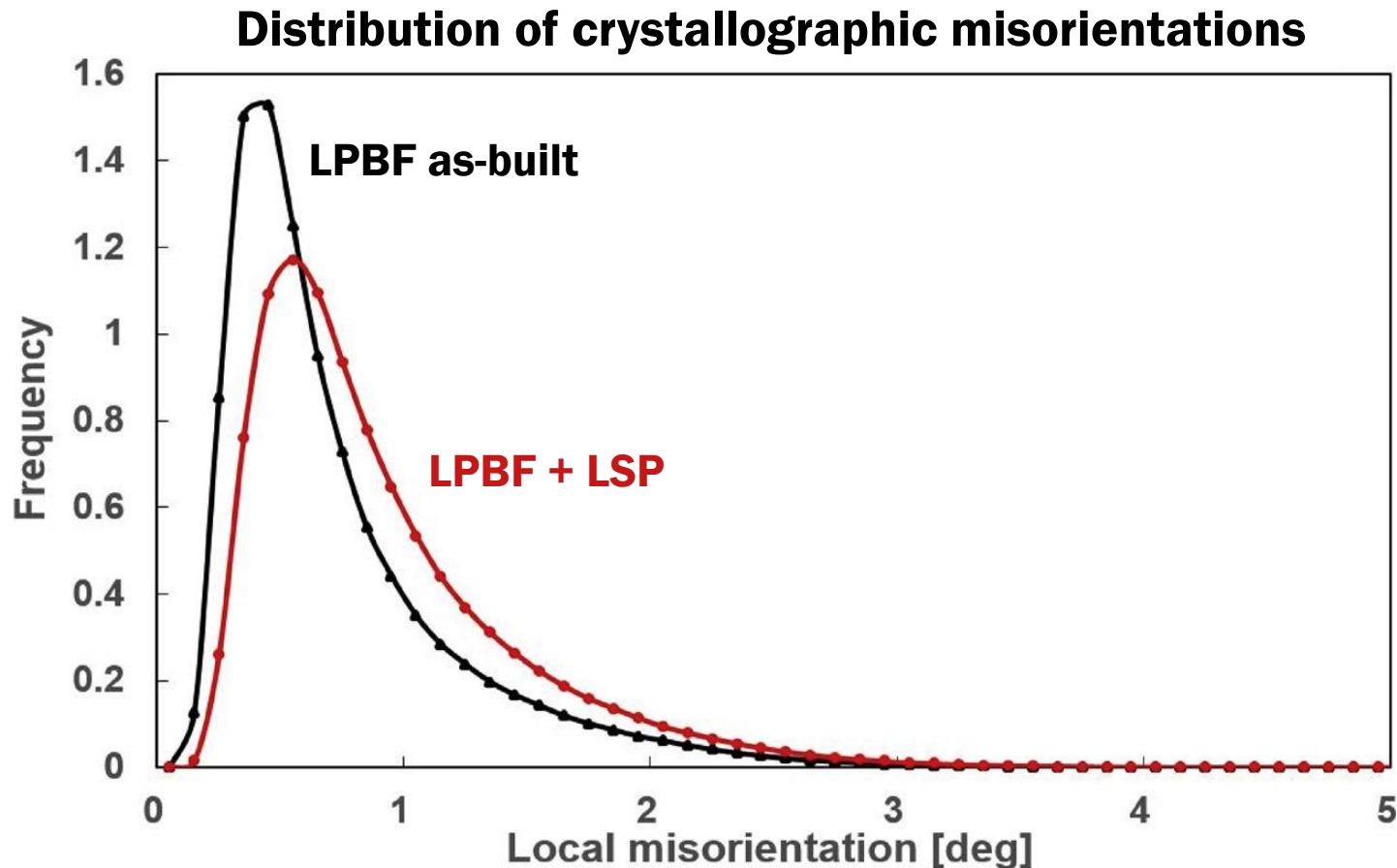
Case 1: high stored energy, presence of porosities



# Hybrid manufacturing: combining LSP and AM

## Selective recrystallization by Laser Shock Peening (LSP)

Case 1: high stored energy, presence of porosities





# Hybrid manufacturing: combining LSP and AM

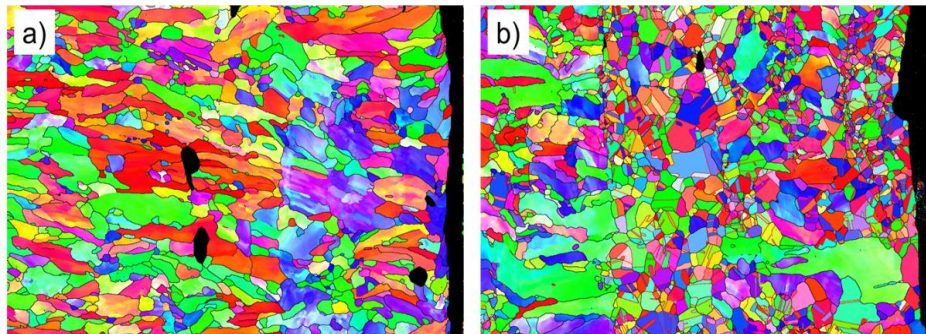
## Selective recrystallization by Laser Shock Peening (LSP)

Case 1: high stored energy, presence of porosities

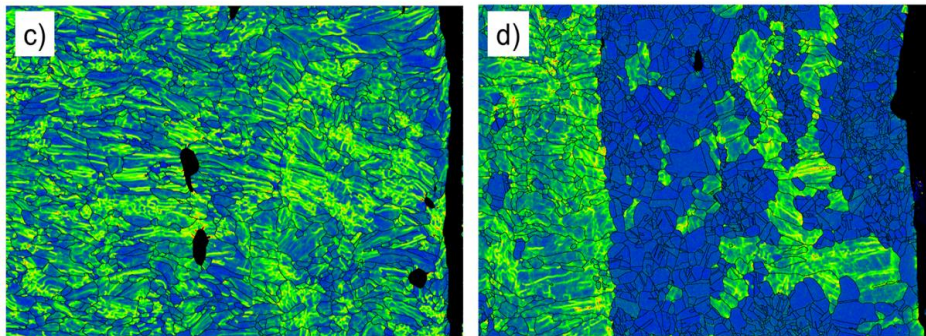
**Heat treatment: 1100°C – 10 min**

LPBF as-built

LPBF + LSP



Inverse pole figure



Local  
misorientation

BD  
→

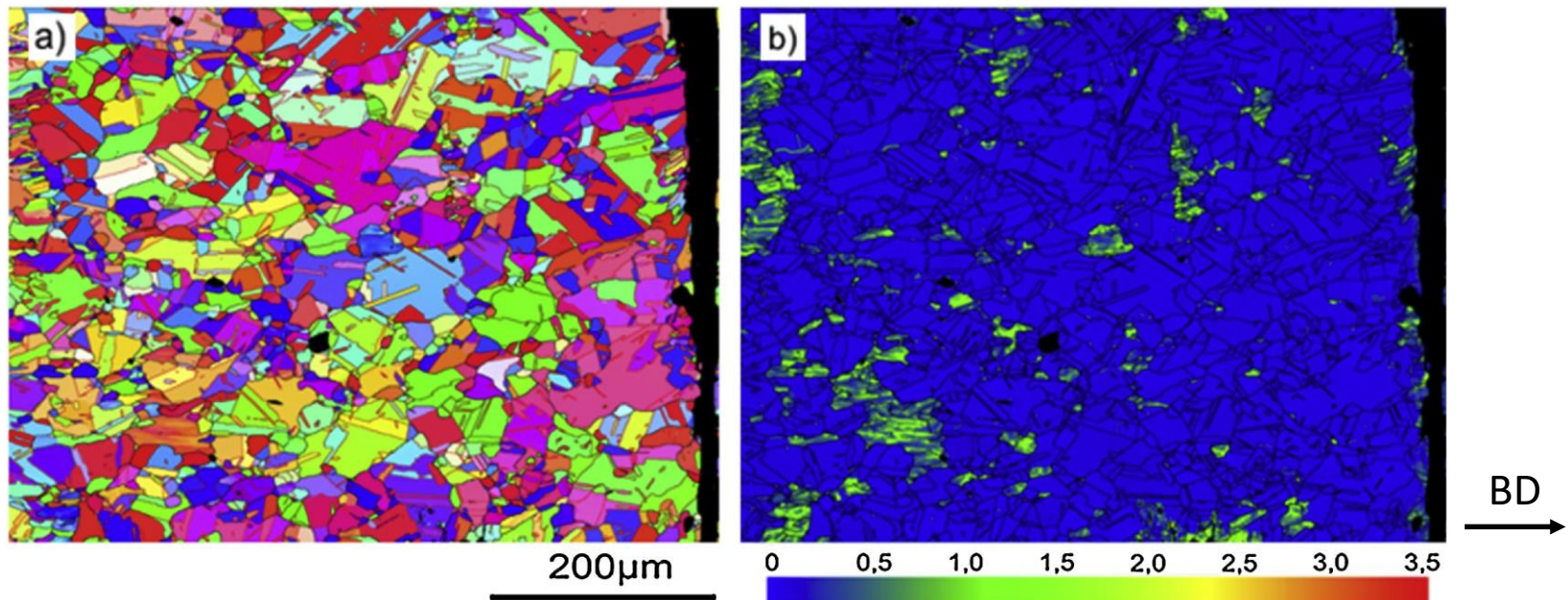


# Hybrid manufacturing: combining LSP and AM

## Selective recrystallization by Laser Shock Peening (LSP)

Case 1: high stored energy, presence of porosities

**LPBF as-built annealed for 2 hours at 1100 °C does recrystallize**

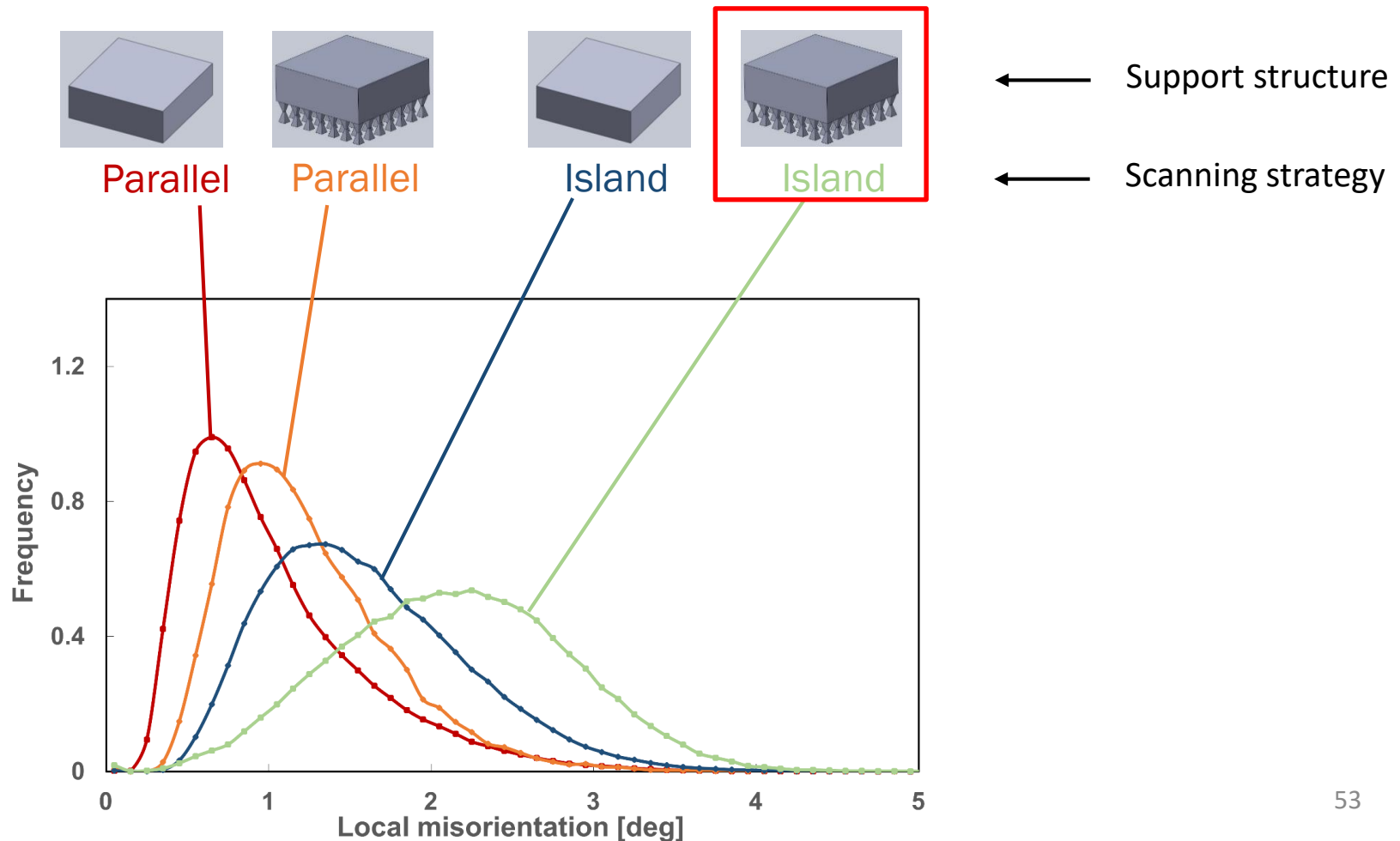


➔ **(Selective) LSP treatment accelerates REX kinetics**  
**REX happens (slowly) without LSP due to the high SLM stored energy**

# Hybrid manufacturing: combining LSP and AM

## Selective recrystallization by Laser Shock Peening (LSP)

Case 2: low stored energy, low porosity

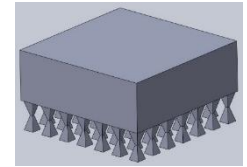




# Hybrid manufacturing: combining LSP and AM

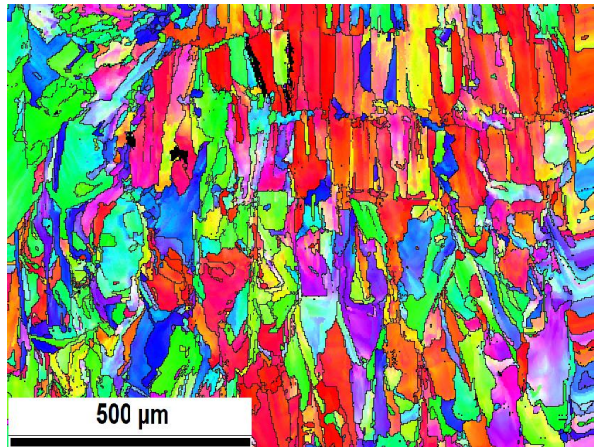
## Selective recrystallization by Laser Shock Peening (LSP)

Case 2: low stored energy, low porosity

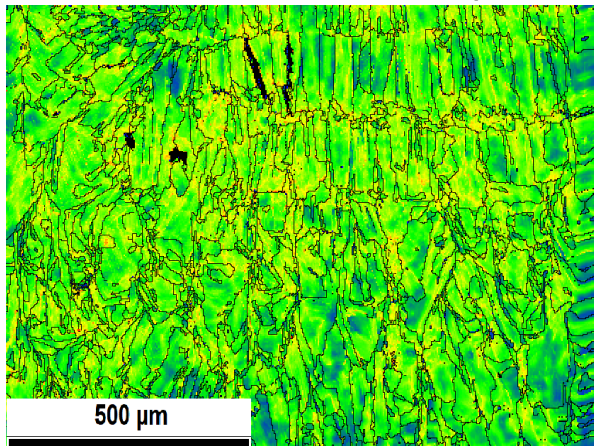


Island

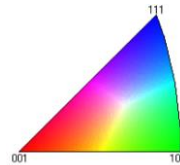
**As-built**



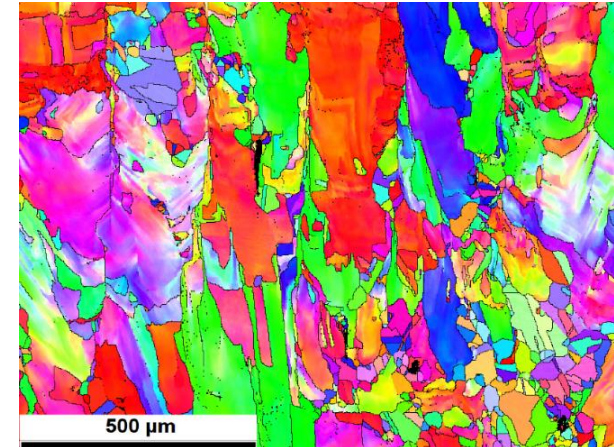
Misorientation map



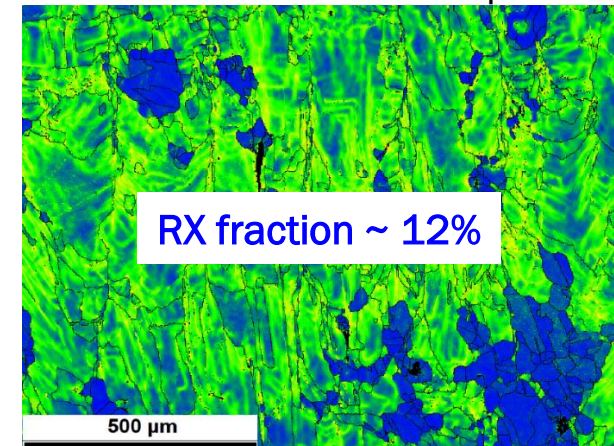
heat treatment



**Heat treatment: 1100°C – 3h**



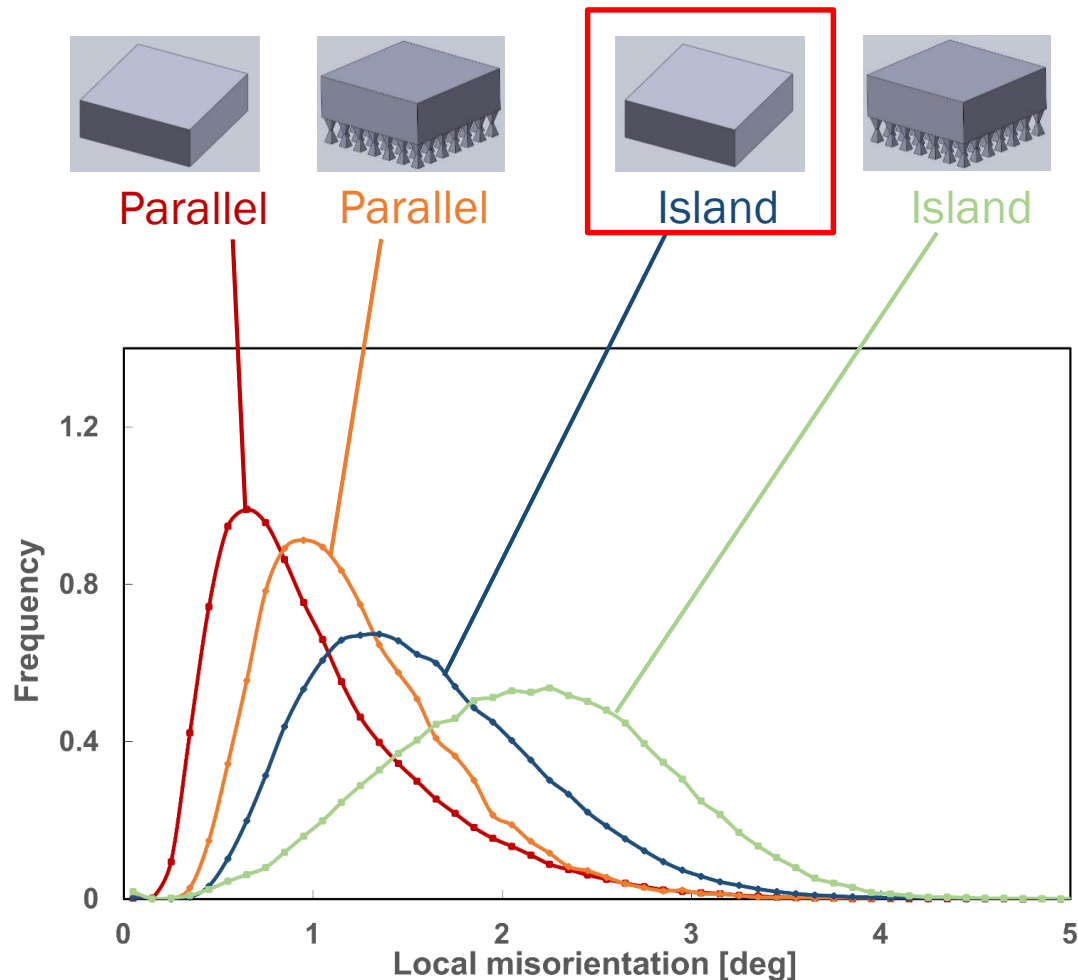
Misorientation map



# Hybrid manufacturing: combining LSP and AM

## Selective recrystallization by Laser Shock Peening (LSP)

Case 2: low stored energy, low porosity

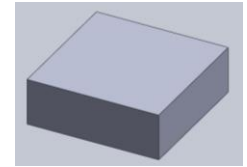




# Hybrid manufacturing: combining LSP and AM

## Selective recrystallization by Laser Shock Peening (LSP)

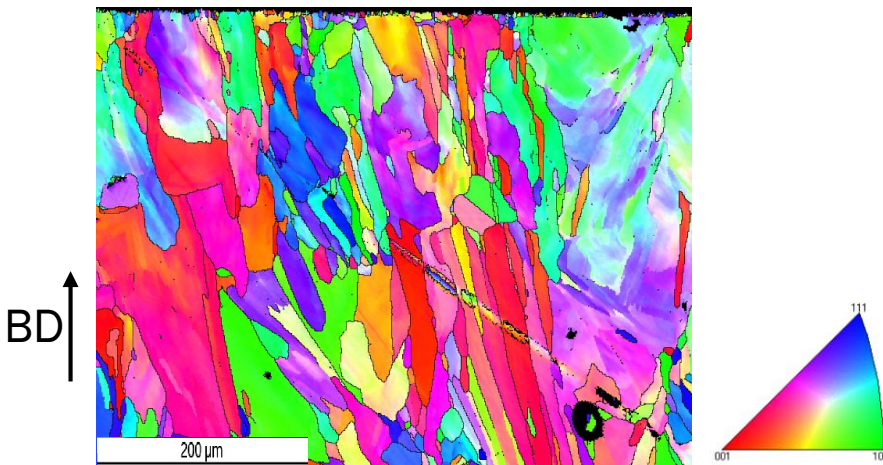
Case 2: low stored energy, low porosity



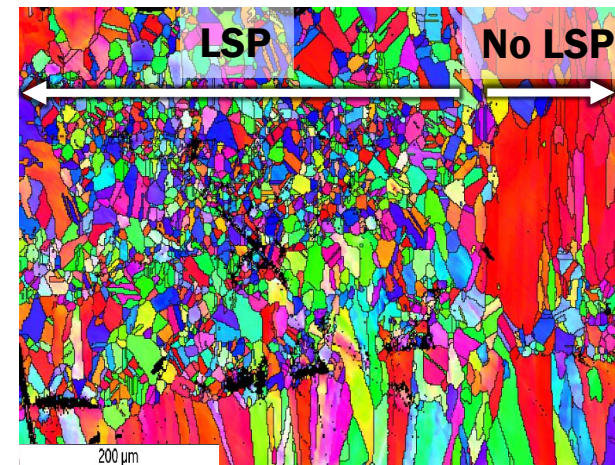
Island

LPBF + Heat treatment: 1100°C – 1h

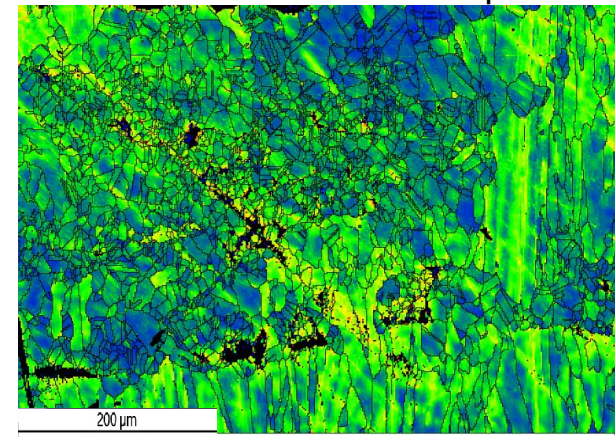
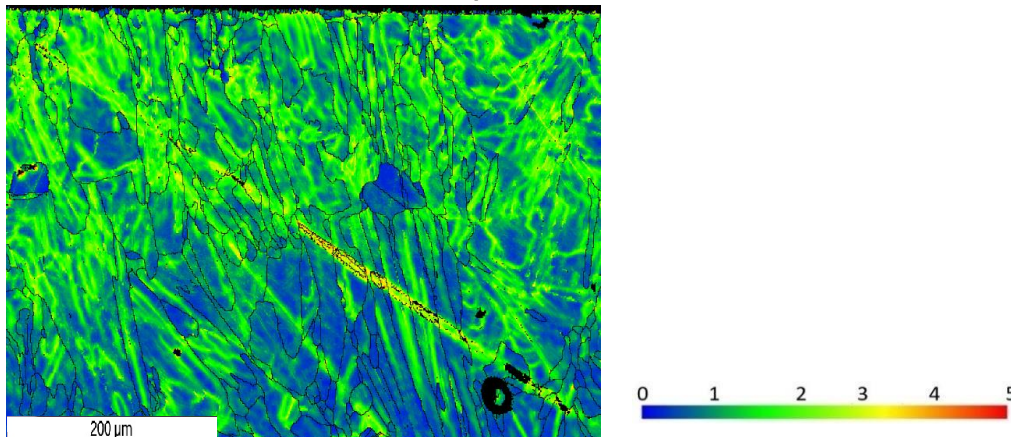
LPBF + **LSP** + Heat treatment: 1100°C – 1h



Misorientation map



Misorientation map



# Hybrid manufacturing: combining LSP and AM

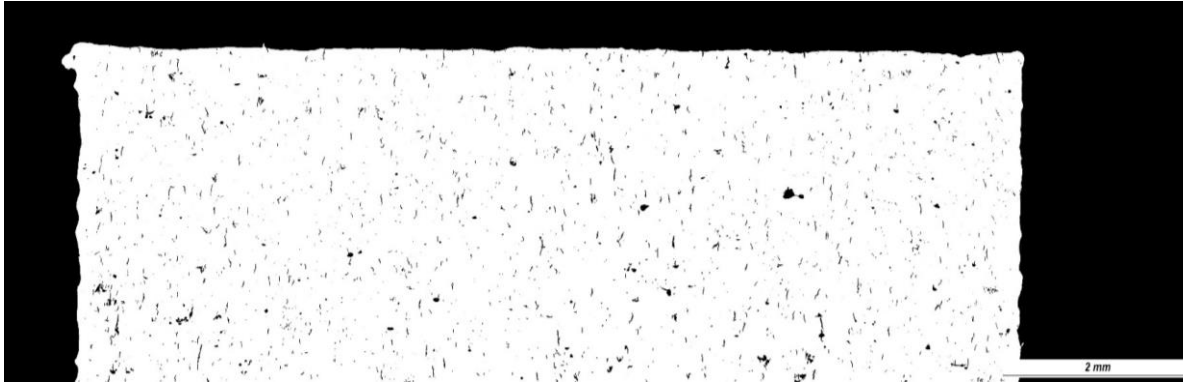
## 3D LSP: LSP combined with LPBF (chap. 5)



2D LSP treatment is applied periodically during the LPBF layer-by-layer building of the 3D piece, leading to 3D LSP **[EPFL patented]**

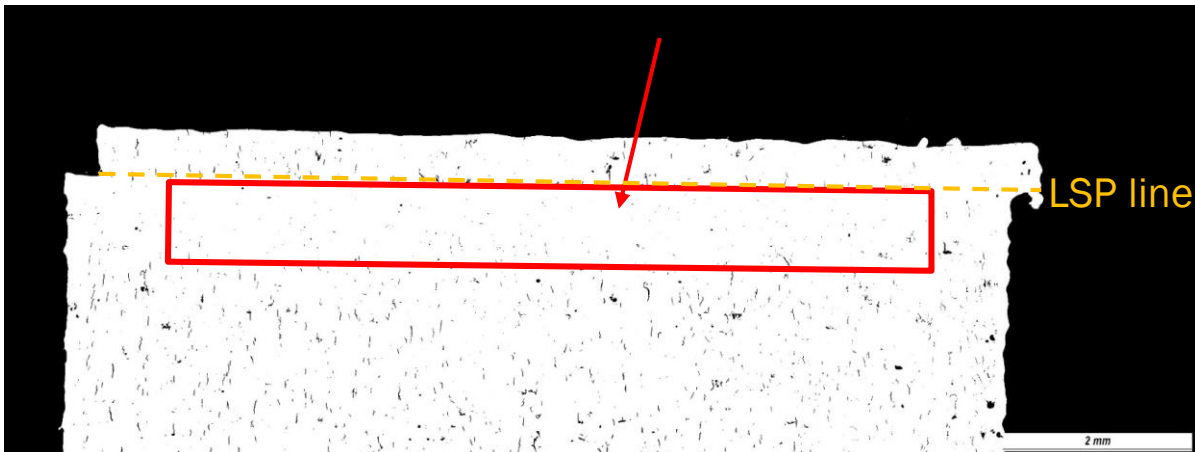
# Hybrid manufacturing: combining LSP and AM

Strategy for healing cracks (chap. 5) → **tailored recrystallization in 3D**



As-built  
sample

Zone with reduced crack density → zone of recrystallized grains



LSP  
+  
20 SLM layers on top